

15. Maximality of some finite linear subgroups of $GL_n(\mathbb{C})$.

Let $\varphi_m: \text{Alt}_m \rightarrow GL_{m-1}(\mathbb{C})$ be the non-trivial component of the transitive permutation representation of Alt_m on m letters and $\psi_m: \text{Alt}_m \rightarrow GL_{\binom{m-1}{2}}(\mathbb{C})$ the representation of Alt_m such that the permutation representation (of degree $\binom{m-1}{2}$) of Alt_m on unordered pairs of ^{m} distinct letters is equivalent to $\text{id} \oplus \varphi_m \oplus \psi_m$.

We shall call a finite subgroup G of $GL_n(\mathbb{C})$ nearly maximal if for any finite subgroup H of $GL_n(\mathbb{C})$ the inclusion $H \supseteq G$ implies that $H \subseteq N_{GL_n(\mathbb{C})}(G)$. In the cases we consider below near-maximality of G means that $N_{GL_n(\mathbb{C})}(G)$ is, modulo its center, a maximal finite subgroup of $GL_n(\mathbb{C})$. But this interpretation does not hold in other examples.

For any $r \in \mathbb{N}$

(15.1) Proposition. (There exists $n_1 = n_1(r) \in \mathbb{N}$ such that if $G := \bigotimes_{i=1}^r \psi_{m_i}(\text{Alt } m_i) \in \text{GL}_{\prod_{i=1}^r (m_i - 1)}(\mathbb{C})$, and $m_i \geq n_1(r)$, $i = 1, \dots, r$, then G is nearly maximal in $\text{GL}_{\prod_{i=1}^r (m_i - 1)}(\mathbb{C})$.)

(15.2) Proposition. There exists $n_2 \in \mathbb{N}$ such that if $G := \psi_m(\text{Alt } m) \in \text{GL}_{m(m-3)/2}(\mathbb{C})$ and $m \geq n_2$ then G is nearly maximal in $\text{GL}_{m(m-3)/2}(\mathbb{C})$

(15.3) Proposition.)

$$\text{If } G := \bigoplus_{i=1}^r \varphi_{m_i}(\text{Alt}_{m_i}) \subseteq \text{GL}_{\sum_{i=1}^r (m_i-1)}(\mathbb{C})$$

and $m_i \geq 10$, $i=1, \dots, r$, then

G is nearly maximal in $\text{GL}_{\sum_{i=1}^r (m_i-1)}(\mathbb{C})$.

(15.4) Proposition. There exists $n_4 \in \mathbb{N}$

such that if $G := \varphi_m(\text{Alt}_m) \oplus \varphi_n(\text{Alt}_n)$

$\subseteq \text{GL}_{(m-1) + n(n-3)/2}(\mathbb{C})$ and $m \geq n_4$, $n \geq n_4$

then G is nearly maximal in

$\text{GL}_{(m-1) + n(n-3)/2}(\mathbb{C})$.

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(15.5) Remark. (15.3) and (15.4) give examples
of nearly maximal
reducible finite linear groups.

(15.6) Proof of (15.1). First, if $r=1$, then $\tilde{G} :=$

$\mathcal{U}_{m_1}(\text{Sym}_{m_1})$ is a group generated by reflections.

This G is known not to be maximal for $m_1 = 9$ (for Sym_9 is contained in the Weyl group of type E_8). When

$m_1 > 9$ consider $H \cong G, H \subseteq GL_m(\mathbb{C}), H$ finite and then replace H by $\tilde{H} := \langle H, \tilde{G} \rangle$. H contains ^{then} a normal subgroup generated by reflections

and from the classification of finite groups generated by reflections we see that G is nearly maximal if $m_1 \geq 10$ (so that $n_1(1) = 10$).

Let us now choose $n_1 = n_1(r)$ so that
 $n_1(r) \geq 49$ and $(n_1! / 2)^r > (2(n_1 - 1)^r + 1)^{2 \log_3(2(n_1 - 1)^r + 1)}$

Clearly, such $n_1(r)$ exists and it is
 easy to see that $n_1(r) > n_1(a)$ if $a < r$.

Let now $m := \prod_{i=1}^r (m_i - 1)$ and let
 $H \subseteq GL_m(\mathbb{C})$ be a finite group such
 that $H \cong G$. H is primitive since so is G .
 Let \bar{S} be the socle of H/center
 and S its preimage in H . Then, as in
 Section 11, S is a central product
 of centrally simple groups G_1, \dots, G_t ,
 extraspecial groups E_1, \dots, E_s and of
 the center C of H . We have, of course,

need to be proven

$G \subseteq S$ whence at once $s=0$. We show now

that each $\varphi_{m_i}(\text{Alt}_{m_i}) \otimes_{\mathbb{1}}^{id}$ is contained in some

G_j . Suppose that is not so. Assume, for

definiteness, that G_1 is such that ^{the} projections

of $\varphi_{m_i}(\text{Alt}_{m_i}) \otimes^{id}$ ^{both} on G_1 and on $G_2 \dots G_t$

are non-trivial. The representation of

the central product of G_1 and $G_2 \dots G_t$

on k^m is equivalent to the tensor

product of representations $\pi \otimes \omega$ of the

two factors. Restricted to $\varphi_{m_i}(\text{Alt}_{m_i}) \otimes^{id}$

it implies that φ_{m_i} is a tensor product

of two representations of Alt_{m_i} . But,

since φ_{m_i} is a non-trivial representation

of smallest dimension, it can not be

a tensor product. Thus each $\varphi_{m_i}(\text{Alt}_{m_i}) \otimes^{id}$

is contained in some G_i .

Thus $\{1, \dots, r\} = \cup_{i=1}^t I_i$ with $I_i \cap I_j = \emptyset$
 if $i \neq j$ and $G_j \cong \otimes_{i \in I_j} \varphi_{m_i} (\text{Alt}_{m_i}) \otimes \text{id}$.

Let us now argue by induction
 on r . The case $r=1$ was dealt with
 before. Make the inductive assumption.
 (Since $n_1(r) \geq n_1(|I_j|)$ for $j=1, \dots, t$
 it follows ^{that} either $|I_j|=1$ for $j=1, \dots, t$
 (and then $t=r$, whence $H \leq \text{NGL}_m(\mathbb{C})(G)$) or
 $t=1$. Our choice of $n_1(r)$, together
 with (6.1), (7.1) implies then that

$$G_1 \cong \text{Alt}_d \text{ for some } d \leq m+1.$$

Let φ_i denote the embedding of Alt_{m_i} in
 Alt_d . Let $\Omega_1, \dots, \Omega_b$ be different

orbits of $\varphi_1(\text{Alt}_{m_1})$ on $\{1, \dots, d\}$. Let

$\{1, \dots, d\} = \bigcup_{i=1}^c J_i$ so that the orbits

Ω_α and Ω_β are equivalent if and

only if $\alpha, \beta \in J_i$ for some i . Then

$Z_{\text{Alt}_d}(\varphi_1(\text{Alt}_{m_1})) \cong \prod_{i=1}^c \text{Sym } J_i$ where

each $\text{Sym } J_i$ permutes the orbits $\Omega_\alpha, \alpha \in J_i$.

Since $\varphi_i(\text{Alt}_{m_i}) \subseteq Z_{\text{Alt}_d}(\varphi_1(\text{Alt}_{m_1}))$ for

$i=2, \dots, r$ and by the inductive assumption

we must have $\varphi_i(\text{Alt}_{m_i}) = \text{Sym } J_{d(i)}$

for $i=2, \dots, r$ and an appropriate $d(i)=1, \dots, c$

Note that $\text{Alt } J_i$ acts trivially on

$\bigcup_{\alpha \notin J_i} \Omega_\alpha$. This implies that each $\text{Alt } J_i$
 has at most two types of orbits on $\{1, \dots, d\}$;

and if exactly two then,
 (one type is trivial). By symmetry this,

therefore, holds for all $\varphi_i(\text{Alt } m_i)$, and,
 in particular, for $i=1$. Thus $c \leq 2, r \leq 3$.

¶ Suppose there is a trivial orbit, say Ω_1 ,
 of $\varphi_1(\text{Alt } m_1)$. Let $\Omega_1 \in J_1$. Then $Z_{\text{Alt}_d}(\text{Alt } J_1)$
 $= \text{Alt}(\{1, \dots, d\} - J_1)$ whence again by
 inductive assumption we must have

$Z_{\text{Alt}_d}(\text{Alt } J_1)$ is one of the $\varphi_i(\text{Alt } m_i)$.
 (when there is a trivial orbit)

Thus we can assume in this case that

$c=r=2$ and $\varphi_i(\text{Alt } m_i)$, $i=1, 2$, acts through the

natural representation on its non-trivial

orbit. We have thus $d = m_1 + m_2$. Then

$m = (m_1 - 1) \cdot (m_2 - 1) \leq \left(\frac{d-2}{2}\right)^2$. Thus we are dealing with a representation of Alt_d of dimension $\leq \left(\frac{d-2}{2}\right)^2$. By R. Rasala

[p 132, Result 2] this implies (since $d \geq 2n_1(2) \geq 20$) that $m \leq d-1$, i.e.

$$(m_1 - 1)(m_2 - 1) \leq m_1 + m_2 - 1 \quad \text{or} \quad (m_1 - 2)(m_2 - 2) \leq 2.$$

This latter inequality is false for $m_1, m_2 \geq 10$. Thus our current assumption that there are trivial orbits is false as well.

Thus there are no trivial orbits and therefore $J_1 = \{1, \dots, d\}$. We assume $r > 1$, so that $r = 2$.

Since $\varphi_2 (\text{Alt}_{m_2})$ acts as $\text{Alt } J_1$ on the non-trivial orbits ^{of $\varphi_1 (\text{Alt}_{m_1})$} , we see that non-trivial orbits of $\varphi_i (\text{Alt}_{m_i})$ are of length m_i

for $i = 1, 2$. Thus $d = m_1 \cdot m_2$. Since

$m = (m_1 - 1)(m_2 - 1) < d - 1$ it follows that $\text{Alt } d$

can have no representation of dimension m whence a contradiction in this case.

This concludes the proof of (15.1).

(15.7) Proof of (15.2). Again, take $n_2 \geq 49$ and such that $(n_2!)/2 > (n_2(n_2-3)+1)^{2 \log_3(n_2(n_2-3)+1) + 1}$

Clearly, such n_2 exists. Set $n := n_2(n_2-3)/2$.

Let $H \in GL_n(\mathbb{C})$, H finite, $H \cong G$.

Since G is primitive so is H . Let S

be the preimage in H of the socle of

H/center . Then S is a central product

of centrally simple groups G_1, \dots, G_t ,

extraspecial groups E_1, \dots, E_s ~~and~~

of the center C of H . We have

again that $s=0$. Clearly, $G \cong G_1 \cdots G_t$.

Let $G_{(i)}$ be the projection of G on G_i ,

$i=1, \dots, t$. The representation of $G_1 \cdots G_t$ on

k^n is a tensor product $\bigotimes_{i=1}^t \pi_i$ of representations

of the G_i . Therefore our representation ψ_n

of $G \cong \text{Alt}_m$ is the tensor product of
 ✓ the $\pi_i / G_{(i)}$. But since by R. Rasala []
 the smallest ^{non-trivial} representation of G has
 dimension $m-1$ and since $(m-1)^2 > m(m-1)/3$
 it follows that ψ_m is not a tensor
 product whence $t=1$.

Then by (6.1), (7.1) and because of our
 choice of n_2 we see that $G_1 \cong \text{Alt}_d$
 for some d . We have since $\text{Alt}_d \cong \text{Alt}_m$

that $d \geq m$. If $d > m$ then $\frac{d(d-3)}{2} > n$
 and hence by

✓ (R. Rasala [, Result 2]) we have

$$n = d-1, \text{ i.e. } d = m(m-3)/2 + 1.$$

Consider the action of Alt_m on $\Omega := \{1, \dots, d\}$.

If Alt_m has a fixed point on Ω then $\text{Alt}_m \subseteq \text{Alt}_{d-1}$ and the restriction of our representation of Alt_d (of dimension $d-1$) to Alt_{d-1} would be irreducible which is not the case.

If Alt_m has an orbit $\Omega_1 \neq \emptyset$, $\Omega_1 \neq \Omega$, on Ω then $\text{Alt}_m \subseteq \text{Alt } \Omega_1 \times \text{Alt } (\Omega - \Omega_1)$ and the restriction of our representation of Alt_d (of dimension $d-1$) to $\text{Alt } \Omega_1 \times \text{Alt } (\Omega - \Omega_1)$ would be irreducible.

But this is not so if $\Omega_1 \neq \Omega$, $\Omega_1 \neq \emptyset$. Thus

Alt_m is transitive on Ω .

Since any primitive permutation of degree $> m$ representation of Alt_m has degree

$\geq m(m-1)/2$ (see

it follows ^{from} $\frac{m(m-3)}{2} + 1 < \frac{m(m-1)}{2}$ that
 the orbit of Alt_m on Ω has
 length divisible by m . Thus $m(m-3)/2 + 1 = rm$
 for some $r \in \mathbb{N}$. Clearly $r > (m-3)/2$. Thus
 $2 = m(2r - (m-3))$ with $2r - (m-3) > 1$. This
 is clearly impossible for $m \geq 49$. This
 is a contradiction with the assumption $d > m$.
 Thus $d = m$ and (15.2) is proved.

(15.8) Proof of (15.3). For $r=1$ (15.3) reduces to

(15.1). So assume $r \geq 2$.

Let $m := \sum_{i=1}^r (m_i - 1)$ and let $H \subseteq GL_m(\mathbb{C})$ be a finite group such that $H \cong G$. Replace H by $\langle H, \bigoplus_{i=1}^r \varphi_{m_i}(\text{Sym}_{m_i}) \rangle$ and let M be the normal subgroup of H generated by $\bigoplus_{i=1}^r \varphi_{m_i}(\text{Sym}_{m_i})$. Set $V := k^m$ and let $V = \bigoplus_{i=1}^t V_i$ be the decomposition of V into M -simple modules. Then $M_i := M \upharpoonright V_i$ is generated by reflections and is irreducible. Then by ^{the} classification

of finite irreducible groups generated by

reflections (see, e.g.,
and since $\dim V_i \geq \min(m_j - 1) \geq 9$ we have that)

M_i is a Weyl group of one of the types

$A_s, B_s,$ or D_s with $s = \dim V_i$. We can assume that

$M_i = M, V_i = V$. Thus we obtain in case

A_s that Alt_{m+1} contains a direct product

of Alt_{m_i} with $\sum m_i = m+1$, and in the

case of B_s and D_s , by taking quotient

by its radical, that Alt_m contains

a direct product of Alt_{m_i} with $\sum m_i = m$.

This situation is easily seen to be

impossible unless $r=1$, M is of type A_{m+1} .

Returning to our original M this means

that each V_i is irreducible for exactly

one $\varphi_{m_j}(\text{Alt}_{m_j})$ whence our claim.

(15.9) Proof of (15.4). Let us take $n_4 \geq \max(n_1(1),$

$n_2)$ and such that $(n_4!/2)^2 > \text{---}$
 $(2n_4 + n_4(n_4 - 3) + 1)^{2 \log_3(2n_4 + n_4(n_4 - 3) + 1) + 1}$

Let $d = n - 1 + n(n - 3)/2$ and let

$H \in GL_d(k)$, H finite, $H \geq G$. If H

is reducible then the irreducible components

clearly will have dimensions $n - 1$ and

$n(n - 3)/2$ and then $H \in N_{GL_d(k)}(G)$ by

(15.1) and (15.2). If H is irreducible it

is easily seen to be primitive. Let

S be the preimage in H of the socle

of H/centre . As before one shows that

S must be centrally simple and then,

✓ by (6.1), (7.1), $S \cong \text{Alt}_r$ for some r .

One has at once, looking at lengths of

orbits of Alt_m and Alt_n on $\Sigma := \{1, \dots, r\}$

that $r \geq m + n$. Using again R. Rasala

[Result 2] and noting that

$$m-1 + n(n-3)/2 < (m+n)(m+n-3)/2 \leq r(r-3)/2$$

we see that $r = d+1 = m + 3n(n-3)/2$.

Let $\Sigma_1, \dots, \Sigma_t$ be different orbits of

Alt_m on Σ . Since the representation

of Alt_r on k^d is the smallest one

and since its restriction to Alt_m is again

the smallest one taken once plus a number

of trivial ones, we have that one orbit,

say Σ_1 , is of length 1 and the rest are of length

1. Then $Z_{\text{Alt}_r}(\text{Alt}_m) = \text{Alt}_{r-m}$ whence

$\text{Alt}_n \leq \text{Alt}_{r-m}$ which contradicts near

maximality of $\psi_n(\text{Alt}_n)$ (i.e. contradicts (15.2))

unless $r-m = n$. But this latter

variant is impossible as $n < n(n-3)/2$.