

# Automorphisms of Modular Lie Algebras

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*Abstract:* We give a short argument that certain modular Lie algebras have surprisingly large automorphism groups.

## 1. Introduction and statement of results

A *classical* Lie algebra is one that has a Chevalley basis associated with an irreducible root system. If  $L$  is a classical Lie algebra over a field  $K$  of characteristic 0 then  $L$  has the following properties: (1) The automorphism group of  $L$  contains the Chevalley group associated with the root system as a normal subgroup with torsion quotient group; (2)  $L$  is simple. It has been known for some time that these properties do not always hold when  $K$  has positive characteristic, even when (2) is relaxed to condition (2')  $L$  is quasi-simple, (that is,  $L/Z(L)$  is simple, where  $Z(L)$  is the center of  $L$ ), but the proofs have involved explicit computations with elements of the algebras. See [Stein] (whose introduction surveys the early results in this area), [Hog].

Our first result is an easy demonstration of the instances of failure for (1) or (2') by use of graph automorphisms for certain Dynkin diagrams; see (2.4), (3.2) and Table 1. Only characteristics 2 and 3 are involved here.

We also determine the automorphism groups of algebras of the form  $L/Z$ , where  $Z$  is a central ideal of  $L$  and  $L$  is one of the above classical quasisimple Lie algebras failing to satisfy (1) or (2'); see (3.8) and (3.9). As far as we know, existing literature deals only with the cases  $Z = Z(L)$  and full arguments are not published. Our proofs use the classification of simple algebraic groups with elementary arguments from the theories of Lie algebras and finite groups, plus a few fairly well-known facts about finite subgroups of algebraic groups. Our arguments are relatively noncomputational, more nearly self-contained and shorter than earlier treatments. To keep this article brief, we do not consider the nonexceptional cases, which are dealt with in [Stein] and earlier literature, though our methods would work. We also give easy proofs of some results of [Hiss] on the action of a Chevalley group on its classical Lie algebra. It is possible that our graph automorphism technique is useful on modules other than the adjoint module.

## 2. Preliminary Results

**2.1 Definition** We call a Lie algebra of classical type if it has a Chevalley basis over the field  $K$ .

Thus, the automorphism group of a classical type Lie algebra contains the Chevalley group of that type.

**2.2 Lemma** *Let  $\sigma$  be an automorphism of an algebra. Suppose that  $C$  is the fixed point subalgebra and  $\phi(t) \in K[t]$ . Then  $N = \text{Im}(\phi(\sigma))$  is stable under multiplication by  $C$ . In particular,  $C \cap N$  is an ideal of  $C$ .*

*Proof* Straightforward. QED

The following is an immediate consequence.

**2.3 Corollary** *Let  $A$  be an algebra over the field  $K$ , and let  $\sigma$  be an automorphism of  $A$  with fixed point subalgebra  $C$ . Suppose that  $\sigma$  has minimal polynomial  $(1 - t)^k$ , and let  $N = \text{Im}(1 - \sigma)^{k-1}$ .*

(i) *If  $C \neq N$  then  $C$  is not simple.*

(ii) *If  $N \not\subseteq Z(C)$ ,  $Z(C)$  is an ideal and  $C \neq N + Z(C)$ , then  $C$  is not quasi-simple.*

**2.4 Corollary** *The following Lie algebras are not quasi-simple:*

Types  $B_n(K)$ ,  $C_n(K)$ ,  $F_4(K)$  when  $\text{char}(K) = 2$ .

Type  $G_2(K)$  when  $\text{char}(K) = 3$ .

*Proof* Apply the previous result to the standard graph automorphisms of  $D_{n+1}$ ,  $A_{2n-1}$ ,  $E_6$ , and  $D_4$ . QED

### 3. The Main Results

To obtain our main results, we apply the observations above to the following situation:

**3.1 Definition** *A special quadruple is a 4-tuple  $(L, \sigma, M, K)$  where  $L$  is a classical Lie algebra over  $K$ ,  $K$  is a field of characteristic  $p > 0$ ,  $\sigma$  is a standard graph automorphism of  $L$ ,  $\sigma$  has order  $p$ , and  $M$  is the fixed point subgroup for the action of  $\sigma$  on the Chevalley group associated to  $L$ ;  $M$  is itself a Chevalley group, associated with the fixed points of  $\sigma$  on the root lattice; thus,  $M$  is a group of automorphisms of the fixed point subalgebra of  $\sigma$ .*

Notice that we are identifying  $\sigma$  with an automorphism of the root system, say,  $\Phi$  and that there is understood to be a set  $\Pi$  of fundamental roots and a Chevalley basis  $B = \{h_\alpha, e_\beta \mid \alpha \in \Pi, \beta \in \Phi\}$  permuted by  $\sigma$  as  $\sigma$  permutes the subscripts.

**3.2 Lemma** *Let  $(L, \sigma, M, K)$  be a special quadruple,  $C := \text{Ker}(1 - \sigma)$  and let  $N = \text{Im}(1 - \sigma)^{p-1}$ . Then  $M$  acts on the Lie algebra  $C/N$ . Furthermore  $C$  contains a subalgebra  $S$  of classical type such that  $C = N + S$  and  $S \cap N \subseteq Z(S)$  where  $Z(S)$  is the center of  $S$ . If  $L$  is of type  $D_n$  or  $E_6$  then the root system associated to  $S$  is irreducible. For any central subalgebra  $Z$  of  $S$ ,  $M$  acts faithfully on  $S/Z$ .*

**3.3 Definition** *We call  $S$  a covering subalgebra for the quadruple  $(L, \sigma, M, K)$ .*

**3.4 Notation** *Define  $Q := C/N$ . Also, when  $K$  is algebraically closed, let  $A := \text{Aut}(S/Z(S))^0$ ; this is an algebraic group which contains an isomorphic copy of  $M$  as*

an algebraic subgroup. Let  $R$  be the Chevalley group associated to  $S$ ; by definition, it is a subgroup of  $Aut(S)$ .

*Proof* [of (3.2)] It is clear that  $N \subseteq C$ , so that  $N$  is an ideal in  $C$  and  $M \subseteq Aut(C)$  acts on  $C/N$ . The action is faithful, as we can see by passing to the algebraic closure where  $M$  becomes a direct product of simple groups. For convenience, let  $H := \langle h_\alpha | \alpha \in \Pi \rangle$  and let  $E_0$  be the subspace spanned by  $\{e_\beta | \beta \text{ is fixed by } \sigma\}$ .

Let  $S$  be the subalgebra generated by  $E_0$ . Then  $S = S \cap H \oplus E_0 \subseteq C$ , and  $S \cap N \subseteq Z(S) \cap H$ . In fact,  $\{\sum_{\alpha \in \mathcal{O}} h_\alpha | \mathcal{O} \text{ is a regular } \sigma\text{-orbit on } \Pi\}$  is a basis for  $S \cap N$ .

For each  $\sigma$ -orbit  $\mathcal{O}$  on  $\Pi$ , let  $\bar{\mathcal{O}}$  be the smallest connected subset of nodes containing  $\mathcal{O}$ . Also let  $\gamma_{\mathcal{O}} = \sum_{\beta \in \bar{\mathcal{O}}} \beta$ . Then  $\{\gamma_{\mathcal{O}} | \mathcal{O} \text{ is a } \sigma\text{-orbit on } \Pi\}$  is a fundamental system of roots for the fixed points of  $\sigma$  on  $\Phi$ . The table below gives the type of  $S$  for each of the special quadruples. (The following observation allows the type of  $S$  to be read off the Dynkin diagram for  $L$ . If  $p = 2$  and  $\bar{\mathcal{O}} \cap \bar{\mathcal{O}}' \neq \emptyset$  for distinct orbits  $\mathcal{O}$  and  $\mathcal{O}'$ , then  $\bar{\mathcal{O}} \subset \bar{\mathcal{O}}'$  or vice versa. Without loss, assume the former. Then all but two of the summands in  $\gamma_{\mathcal{O}'} - \gamma_{\mathcal{O}}$  are orthogonal to all of the summands in  $\gamma_{\mathcal{O}}$ , so that  $(\gamma_{\mathcal{O}}, \gamma_{\mathcal{O}'}) = (\gamma_{\mathcal{O}}, \gamma_{\mathcal{O}}) + (\gamma_{\mathcal{O}}, \gamma_{\mathcal{O}'} - \gamma_{\mathcal{O}}) = 2 - 2 = 0$ .)

Suppose  $L$  has type  $d_4$  and  $p = 2$ . Let  $\theta$  be the standard graph automorphism of  $L$  of order 3 (it is inverted by  $\sigma$  under conjugation), and let  $S_\theta$  be the fixed points of  $\theta$  on  $L$ . The  $\theta$ -orbits on  $\Phi$  consist of fixed points, which are also fixed by  $\sigma$ , and the regular orbits, each of which is a union of a fixed point of  $\sigma$  and a regular  $\sigma$ -orbit. This implies that  $S_\theta \subseteq C$  and that  $S_\theta \cap N = 0$ . Thus there is a second possibility for  $S$  in this case, as indicated in Table 1, below.

The last statement is clear since  $M$  contains a copy of the Chevalley group associated to  $S$  and  $M$  becomes simple when the field is sufficiently large.

Notice that a further example of type  $a$  occurs here since  $a_3 \cong d_3$ .

QED

Table 1: Special quadruples and covering algebras  
( $p = 3$  in the first case,  $p = 2$  in all others)

$L$	$M$	$\dim C$	$\dim N$	$S$	$\dim S$	$\dim Z(S)$	$\dim N \cap S$
$d_4$	$G_2$	14	7	$a_2$	8	1	1
$e_6$	$F_4$	52	26	$d_4$	28	2	2
$d_{n+1}, n \geq 3$	$B_n$	$2n^2 + n$	$2n + 1$	$d_n$	$n(2n - 1)$	$\begin{cases} 1, n \text{ odd} \\ 2, n \text{ even} \end{cases}$	1
$a_{2n-1}, n \geq 2$	$C_n$	$2n^2 + n$	$2n^2 - n - 1$	$a_1 \oplus \dots \oplus a_1$	$3n$	$n$	$n - 1$
$d_4$	$B_3$	21	7	$g_2$	14	0	0

**3.5 Corollary** For each of the following triples  $(S, X, p)$ , the Chevalley group of type  $X$  acts faithfully on the central quotient of the classical Lie algebra of type  $S$  in characteristic  $p$ .

- (i)  $S = a_2, X = G_2, p = 3$ .
- (ii)  $S = d_4, X = F_4, p = 2$ .
- (iii)  $S = d_n, X = B_n, p = 2$ .

(iv)  $S = g_2$ ,  $X = B_3$ ,  $p = 2$ .

It is worth mentioning that the ideal  $N \cap C$  of  $C$  is associated to Chevalley basis elements for short roots in the root system inherited by  $C$  from  $\Phi$  and  $\sigma$ . This is immediate from our procedure since short roots are associated with sums over the regular orbits for  $\sigma$ . Similarly, it is immediate that  $N \cap C$  is stable under the Chevalley group  $R$  (and even  $M$ ). This seems much easier than an argument within  $C$  since a direct verification that the above subspace is an ideal and is stable under the Chevalley group, starting from the definitions of the Chevalley basis and the Chevalley generators  $x_r(t)$ , would require a study of chains in the particular root systems and calculations of binomial coefficients.

We have shown that each of the algebras  $S/Z(S)$  from the previous corollary admits, as automorphisms, a Chevalley group  $M$  properly containing the Chevalley group associated to the classical Lie algebra  $S$ . We next proceed to determine the full automorphism group of  $S/Z(S)$ . We use algebraic group techniques to prove that the group is not bigger than the group  $M$  when the field is algebraically closed. The situation is then fairly clear for general fields since we are dealing with Chevalley groups; the occurrence of outer diagonal automorphisms here depends on the particular field.

**3.6 Lemma** Suppose  $\text{char}(K) = 2$  and that  $L$  is a classical Lie algebra of type  $d_n$ ,  $n \geq 3$ . Let  $h_{ij}, h'_{ij}$  denote the elements  $h_r$  of the Cartan subalgebra  $\mathfrak{h}$  of  $L$  given by roots  $r = e_i + e_j, e_i - e_j$ , in the usual notation [Bour]. If  $n$  is odd,  $Z(L)$  has basis  $h_{ij} + h'_{ij}$ , for any pair  $i \neq j$ . If  $n$  is even,  $Z(L)$  has basis consisting of an  $h_{ij} + h'_{ij}$  as above, and  $h_{12} + h_{34} + \dots + h_{n-1,n}$ . The usual graph automorphism of order 2 (associated to a determinant  $-1$  diagonal transformation) commutes the second basis vector above to the first. As a module for the Weyl group ( $\cong 2^{n-1} : \text{Sym}_n$ ), the maximal trivial quotient of the Cartan subalgebra is zero. In fact, the module structure for the Weyl group on  $\mathfrak{h}$  has ascending factors of dimensions  $1, n-1$  for  $n$  odd and  $1, 1, n-2$  for  $n$  even.

*Proof* Exercise. QED

**3.7 Lemma** Suppose that  $Z(S) \neq 0$  and that  $S$  does not have type  $\mathfrak{a}_1 \oplus \dots \oplus \mathfrak{a}_1$  (so that  $n \geq 3$  when  $S$  has type  $d_n$ ). Then  $Z(S)$  is not complemented by an  $R$ -submodule.

*Proof* Suppose we are in the  $\mathfrak{a}_2$  case. Let  $u$  be a unipotent element of  $R$  with a single Jordan block of size 3 on the natural module  $V$ . It has the same Jordan canonical form on the dual module, and on the tensor of these two representations, which contains the representation on  $S$  as a constituent, it has three Jordan blocks of size 3. On  $S/Z(S)$ , of dimension 7, it has at least 3 dimensions of fixed points. If there were a complement invariant under  $u$ ,  $u$  would have fixed point subspace on  $S$  of dimension at least 4, a contradiction.

All remaining cases satisfy  $\text{char}(K) = 2$  and  $S$  has type  $d_n$ , for some  $n \geq 3$ . The previous result deals with this case. QED

It is worth observing that the previous result gives a trivial proof of the  $F_4$  and  $G_2$  cases of the Hauptsatz of [Hiss], which determines the submodule structure of a classical Lie algebra with respect to its Chevalley group; for, if there were a submodule complementing the nontrivial ideal (of dimensions 26 and 7, respectively), we could descend to a covering subalgebra and its Chevalley group and contradict the previous lemma.

**3.8 Theorem** *If  $K$  is algebraically closed then*

- (i)  $Aut(\mathfrak{a}_2(K)/\mathbf{Z}(\mathfrak{a}_2(K))) \cong G_2(K)$ , for  $char(K) = 3$ .
- (ii)  $Aut(\mathfrak{g}_2(K)) \cong B_3(K)$ , for  $char(K) = 2$ . Note that  $\mathbf{Z}(\mathfrak{g}_2(K)) = 0$  in this case.
- (iii)  $Aut(\mathfrak{d}_4(K)/\mathbf{Z}(\mathfrak{d}_4(K))) \cong F_4(K)$ , for  $char(K) = 2$ .
- (iv)  $Aut(\mathfrak{d}_n(K)/\mathbf{Z}(\mathfrak{d}_n(K))) \cong B_n(K)$ , for  $char(K) = 2$ ,  $n = 3$  or  $n > 4$ .

*Proof* The approach to each case is similar, but the details vary. Let  $(\mathbf{L}, \sigma, M, K)$  be a special quadruple with associated covering subalgebra  $\mathbf{S}$ ; use the notations of (3.4). In each case, discussed below, we show that  $M$  acts irreducibly on  $\mathbf{Q}/\mathbf{Z}(\mathbf{Q}) \cong \mathbf{S}/\mathbf{Z}(\mathbf{S})$ . This implies that a normal unipotent subgroup of  $A$  is trivial, so any reductive subgroup centralizing  $M$  (identified with its image in  $A$ ) must be both scalar and a group of automorphisms, hence trivial. Therefore,  $A$  is semisimple (and  $M$  projects nontrivially to each factor).

We also note that a maximal torus  $T$  of  $M$  acts on  $\mathbf{Q}$  by pairwise distinct nontrivial linear characters at the root spaces and has fixed point subalgebra the Cartan subalgebra  $\mathfrak{h}$ . It follows that each of these spaces is left invariant by  $C_A(T)$ , as are the 1-dimensional subspaces of  $\mathfrak{h}$  obtained by bracketing a root space and its negative. Since  $C_A(T)$  effects a scalar on each invariant 1-space, indecomposability of the root system implies that  $C_A(T)$  acts by a scalar-valued homomorphism on  $\mathfrak{h}$ . Also, if  $\mathbf{E}$  is any root space, the equation  $[\mathfrak{h}, \mathbf{E}] = \mathbf{E}$  implies that  $C_A(T)$  centralizes  $\mathfrak{h}$ . In particular, the Weyl group of  $A$  acts on  $\mathfrak{h}$ .

An immediate corollary is that  $A$  and  $M$  have the same Lie rank; for otherwise, the kernel of the action of a torus of  $C_A(T)$  on the above fundamental root spaces and their opposites would be positive dimensional. Finally, we deduce that  $A$  is simple.

We settle all cases now with the observation that, in each case, there is no embedding of  $M$  as a proper subgroup of a simple algebraic group with the same rank.

It remains to verify irreducibility of  $M$  on  $\mathbf{Q}/\mathbf{Z}(\mathbf{Q}) \cong \mathbf{S}/\mathbf{Z}(\mathbf{S})$ .

(i)  $M \cong G_2(K)$  contains a subgroup of shape  $2^3 \cdot SL(3, 2)$  [Gr 1990] which acts faithfully, hence irreducibly on the 7-dimensional module  $\mathbf{Q}$  (51.7)[CuRe].

(ii) Here,  $M \cong B_3(K)$  acts on  $\mathbf{Q} \cong \mathfrak{g}_2(K)$ . To show that this action is irreducible, it suffices to show that a  $G_2(2)$ -subgroup  $X$  acts irreducibly. Let  $x$  be a 3-central element of  $X$  of order 3. Then  $N_X(\langle x \rangle) \cong 3_+^{1+2} : [8 : 2]$  (the factor  $8:2$  is semidihedral),  $x$  is real in  $X$ , and  $x$  has fixed point subalgebra of dimension 8. If  $P := O_3(N_X(\langle x \rangle))$ , then any element of  $P - \langle x \rangle$  is real and has fixed point subalgebra of dimension 4; such elements form a single  $N_X(\langle x \rangle)$ -conjugacy class. Orthogonality relations (done in characteristic 0 with Brauer characters) imply that  $P$  has 0 fixed point subalgebra on  $\mathbf{Q}$ . This also implies that  $N_X(\langle x \rangle)$  has irreducible constituents of degrees 8 and 6, and  $x$  acts trivially on the 8-dimensional constituent. Since  $\langle x^X \rangle$  has index 2 in  $X$ , and since elements from the other class of elements of order 3 have fixed point subalgebras of dimension 4, it follows that this 8-dimensional constituent does not represent a composition factor for  $X$ . Therefore  $X$  must act irreducibly on  $\mathbf{Q}$ .

(iii) A subgroup of  $M \cong F_4(K)$  of shape  $3^3 : SL(3, 3)$  acts irreducibly on  $\mathbf{Q}$  due to the fact that its normal subgroup of order  $3^3$  acts with 26 distinct nontrivial linear characters, which form an orbit under the action of  $SL(3, 3)$ .

(iv) If  $n = 3$  then  $\mathbf{Q} \cong \mathfrak{g}_2(K)$  by Lemma 3.2, and the result follows from case (ii). We therefore assume that  $n > 4$ . Let  $T$  be a maximal torus of  $M \cong B_n(K)$ . Then,  $N_M(T)$  acts on the adjoint module  $\mathbf{C}$  for  $M$  with irreducible constituents corresponding to the orbits of the Weyl group  $W = N_M(T)/T$  on the short and long roots, plus the constituents for the action of  $W$  on the Cartan subalgebra  $\mathfrak{h}$  of  $\mathbf{C}$ . When we pass to  $\mathbf{Q} = \mathbf{C}/\mathbf{N}$ , we factor out the span of the short root spaces and a 1-dimensional central ideal. When we pass to the full central quotient of  $\mathbf{Q}$  we get an irreducible action of  $W$  on the image of  $\mathfrak{h}$  (see (3.7). Since no Chevalley group element  $x_r(t)$  of  $M$ , for  $r$  fixed by  $\sigma$ , leaves invariant the image of  $\mathfrak{h}$  in  $\mathbf{Q}$ , we deduce irreducibility of  $M$  on  $\mathbf{Q}/\mathbf{Z}(\mathbf{Q})$ , as required. □

Finally, we determine automorphism groups for most remaining central quotients of the covering subalgebras from Table 1 (we exclude just line 4). The essential case is that of an algebraically closed field.

**3.9 Theorem** *Let  $\mathbf{S}$  be a covering subalgebra from Table 1 with  $\mathbf{S}/\mathbf{Z}(\mathbf{S})$  simple, and let  $\mathbf{Z}$  be a central ideal properly contained in  $\mathbf{Z}(\mathbf{S})$ . Assume that  $K$  is algebraically closed. Then  $\text{Aut}(\mathbf{S}/\mathbf{Z})^0$  is given by the natural action of  $R$ , where  $R$  is the Chevalley group associated to  $\mathbf{S}$ , except in the cases*

(i)  $\mathbf{S}$  has type  $d_4$  and  $\mathbf{Z}$  is one of three particular one-dimensional ideals, in which case  $\text{Aut}(\mathbf{S}/\mathbf{Z})^0$  corresponds to one of the three natural type  $B_4$  subgroups between the images of  $R$  and  $M \cong F_4(K)$  in  $\text{Aut}(\mathbf{S}/\mathbf{Z}(\mathbf{S}))^0$ .

(ii)  $\mathbf{S}$  has type  $d_n$ , for even  $n \geq 2$ ,  $\dim \mathbf{Z} = 1$  and  $\text{Aut}(\mathbf{S}/\mathbf{Z}) \cong B_n(K)$ .

*Proof* Let  $\mathbf{Z}$  be a central ideal proper in  $\mathbf{Z}(\mathbf{S})$  and  $A = \text{Aut}(\mathbf{S}/\mathbf{Z})^0$ . We deal with the various cases of  $\mathbf{Z}(\mathbf{S}) \neq 0$  indicated in Table 1. Note that if  $\mathbf{Z}_1$  is any central ideal, the natural map from  $\{\alpha \in \text{Aut}(\mathbf{S}) \mid \alpha \text{ leaves } \mathbf{Z}_1 \text{ invariant}\}$  to  $\text{Aut}(\mathbf{S}/\mathbf{Z})$  is a monomorphism since  $\mathbf{S}$  is perfect. Thus, identifying groups with their images in  $\text{Aut}(\mathbf{S}/\mathbf{Z}(\mathbf{S}))$ , we get containments  $R \subseteq A \subseteq M$ . We determine the middle group for all relevant  $\mathbf{Z}$ .

Case 1.  $\mathbf{S} = a_2(K)$ , for  $\text{char}(K) = 3$ .

Here,  $\dim \mathbf{Z} = 0$ . Suppose that  $R < A$ . Then,  $A = M \cong G_2(K)$ . Let  $B$  be a subgroup of  $A$  isomorphic to  $2^3 \cdot SL(3, 2)$ . Then,  $O_2(B)$  operates fixed point freely on  $\mathbf{S}/\mathbf{Z}(\mathbf{S})$  and so leaves invariant a unique complement  $V$  to  $\mathbf{Z}(\mathbf{S})$  in  $\mathbf{S}$ . The subgroup  $A_V$  of  $A$  leaving  $V$  invariant is an algebraic subgroup of  $A$ . If  $A_V$  is positive dimensional, then an argument similar to that of (3.8) shows that  $A_V$  must be simple since it contains  $B$  and has rank at most 2. Table 1 of (1.8)[Gr 1990] implies that  $A_V = M$ , a contradiction to (3.7). We conclude that  $A_V$  is finite. The action of the 14-dimensional group  $A$  on a 6-dimensional space of complements in  $\mathbf{S}$  to  $\mathbf{Z}$  (this is equivalent to an action on a 6-dimensional affine space of complements in  $\mathbf{S}$  to  $\mathbf{Z}$  (this is equivalent to an action on a 6-dimensional affine space of vectors in the dual space of  $\mathbf{S}$ ) therefore has 0-dimensional fiber, a contradiction.

Case 2.  $\mathbf{S} = d_n(K)$ , for  $\text{char}(K) = 2$ .

Identify  $M$  with  $\text{Aut}(\mathbf{S}/\mathbf{Z}(\mathbf{S}))$ . The image  $X$  of  $\text{Aut}(\mathbf{S}/\mathbf{Z})^0$  in  $M$  is an algebraic group between  $R$  and  $M$ , so is either  $R$  or a natural  $B_n$  subgroup, or possibly  $M \cong F_4(K)$  when  $n = 4$ . The latter possibility for  $X$  is quickly eliminated by arguing as in Case 1, with a subgroup  $3^3 : SL(3, 3)$  in the role of  $B$ , using Table 2 of (1.8)[Gr 1990].

Consider the possibility that  $X \cong B_n(K)$ . Then,  $X$  and  $R$  share a maximal torus and so the Weyl group of  $X$  acts on the image in  $\mathbf{S}/\mathbf{Z}$  of a Cartan subalgebra of  $\mathbf{S}$ . We assume that this action extends that of the Weyl group of  $R$  by a determinant  $-1$  diagonal transformation in the usual description of the root system of type  $d_n$ . When triality is present (for us, this means  $M \cong F_4(K)$ ), we may need to use triality to assume the above.

If  $n \geq 2$  is even,  $\dim \mathbf{Z} = 0$  is impossible, by the way the graph automorphism of  $d_n$  acts on the elements of (3.6), since we would then have a nontrivial homomorphism of  $B_n(K)$  to  $GL(2, K)$ . So, if  $n$  is even,  $\dim \mathbf{Z} = 1$ . This case occurs, by Table 1. What is needed now is the result that such a  $\mathbf{Z}$  must be the span of  $h_{ij} + h'_{ij}$ , in the notation of (3.6). This follows from the way the graph automorphism acts on  $h_{12} + \dots + h_{n-1,n}$  modulo  $\mathbf{Z}$ .

If  $n$  is odd,  $n \geq 3$  and the only possibility here is  $\mathbf{Z} = 0$ . We show that  $X \cong B_n(K)$  is impossible. Let  $T$  be the 1-dimensional torus in  $X$  whose fixed point subgroup has semisimple part  $Y$  isomorphic to  $B_{n-1}(K)$ . Without loss,  $Y$  corresponds to a subset of  $n-1$  nodes of the Dynkin diagram for  $X$ . We may assume that the subgroup generated by root groups associated to the long roots in the root system for  $Y$  is in  $R$ . These roots therefore correspond to a subset of the given Chevalley basis and we have an associated classical subalgebra  $C_{\mathbf{Q}}(T)$  of type  $d_{n-1}$ . Since  $n-1$  is even and since  $Y$  acts on  $C_{\mathbf{Q}}(T)$ , we have a contradiction to the previous paragraph, the case  $\dim \mathbf{Z} = 0$ . QED

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