

## GRADED LIE ALGEBRAS. II

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(Preliminary version; second draft)

1. Introduction. In this sequel to [2] I settle affirmatively the existence and uniqueness of simple graded Lie algebras attached to the root systems that arise in [2].

By and large, definitions will not be repeated from [2].

2. Uniqueness. Let us recall the basic setup.

$J = J_0 \cup J_1$  is a simple graded Lie algebra with an appropriate form.  $J_0 = P \oplus Q$  with  $P$  semisimple and  $Q$  abelian. Any Cartan subalgebra of  $J_0$  acts diagonally on  $J_1$ . The root spaces are 1-dimensional except possibly when  $J$  is 14-dimensional. One of the objectives of this paper is to settle that the exceptional case is unique (stated without proof in [2]). A root system is attached to  $J$ . Let  $J'$  be a second such algebra and suppose that  $J$  and  $J'$  have isomorphic root systems. Our problem is to prove that  $J$  and  $J'$  are isomorphic. The theory of ungraded Lie algebras shows that one can reconstruct from the root system the structure of  $J_0$  and the representation of  $J_0$  on  $J_1$ . Thus only the multiplication  $J_1 J_1 \subset J_0$  is needed.

I give a unified argument for the cases where  $J_1$  is irreducible under  $P$ . These cases are:  $OSp(m, n)$  with

$n > 1$ ,  $EO\text{Sp}(m, n)$ ,  $\Gamma(A, B, C)$ ,  $\Gamma_2$  and  $\Gamma_3$ . (The case of no isotropic roots, treated in the last section of [2], fits in as  $EO\text{Sp}(m, 0)$  and needs no further comment. Incidentally, this is the only case where  $J_0$  is simple.) This leaves  $SL(m, n)$ ,  $O\text{Sp}(m, 1)$ , and the 14-dimensional exception; these I treat individually.

We assume then that we are in the case where  $J_1$  is irreducible under  $P$  and proceed to build the desired isomorphism  $F$  of  $J$  onto  $J'$ . As was asserted above, we can begin with an isomorphism  $F$  of  $J_0$  onto  $J_0'$  (we systematically put a prime on an object attached to  $J$  to get the corresponding object attached to  $J'$ ). Moreover it is easy to see that  $F$  can be selected to be form-preserving.

Pick a system of simple roots in  $P$ , and let us write  $\Sigma$  for a set of root vectors, one for each simple root. Write  $\Pi$  for a similar set of root vectors for the negatives of the simple roots. Since  $J_1$  is irreducible under  $P$ , the subset of  $J_1$  annihilated by  $\Pi$  is a 1-dimensional subspace  $S$ . Likewise the annihilator in  $J_1$  of  $\Sigma$  is a 1-dimensional subspace  $T$ .  $J_1$  is spanned by  $S$  and the elements obtained by multiplying  $S$  repeatedly by members of  $\Sigma$ , and the same statement holds with  $S$  and  $\Sigma$  replaced by  $T$  and  $\Pi$ .  $S$  and  $T$  are root spaces for roots which are negatives of each other. These facts follow from representation theory; they can also be checked by inspection in the root systems at hand.

Pick  $s$  and  $t$  different from 0 in  $S$  and  $T$ . We tentatively proceed to extend  $F$  to  $J_1$  by defining  $F(s)$  to

be any nonzero element in  $S'$ . There is then a unique extension of  $F$  to a module isomorphism of  $J_1$  onto  $J_1'$ , and in particular the extended  $F$  sends  $T$  onto  $T'$ . But  $F$  need not be multiplicative on  $J_1$ . For instance,  $F(st) = cF(s)F(t)$  with  $c$  a nonzero scalar which need not be 1. By replacing  $F$  by  $F/\sqrt{c}$  on  $J_1$  we can make the map multiplicative at least on  $st$ . Let us do so and change notation so that the adjusted map is again  $F$ . We can then show that  $F$  is multiplicative on  $J_1$  and thus furnishes the desired map.

We take  $a, b \in J_1$  and set out to prove  $F(ab) = F(a)F(b)$ . We can take  $a$  and  $b$  in the form

$$a = L_p \dots L_1 s, \quad b = M_q \dots M_1 t,$$

where each  $L_i$ , resp.  $M_j$ , denotes left-multiplication by some member of  $\Sigma$ , resp.  $\Pi$ . We argue by induction on  $p + q$ . The induction starts successfully at  $p + q = 0$ , for we have prearranged  $F(st) = F(s)F(t)$ . So  $p + q$  is positive; by symmetry we may assume that  $p$  is positive.

Suppose that  $L_p$  is left-multiplication by  $x$  and write  $a = xd$ . We have

$$(1a) \quad db.x - bx.d + xd.b = 0.$$

We study  $xb = L_p M_q \dots M_1 t$  by systematically pushing  $L_p$  to the right of the  $M$ 's. For a given  $j$  there are two cases. If the root for  $M_j$  is not the negative of that for  $L_p$ , then  $L_p$  and  $M_j$  commute. In the contrary case, the commutator  $G$  of  $L_p$  and  $M_j$  is left-multiplication by an element of the Cartan subalgebra. The commutator of  $G$  and an  $M$  is a scalar multiple of that  $M$ , so we can systematically push  $G$  to the right till it hits  $t$  and

sends it into a scalar multiple of itself. When  $L_p$  reaches  $t$  we have  $L_p t = 0$ . The upshot of all this is that we see by induction that

$$F(d.xb) = F(d)F(xb),$$

or equivalently,

$$(1b) \quad F(bx.d) = F(bx)F(d).$$

This looks after the middle term of (1a). As regards the first term, we have  $F(db) = F(d)F(b)$  by induction,  $F(x.db) = F(x)F(db)$  since  $F$  is an isomorphism on  $J_0$ , and  $F(xd) = F(x)F(d)$  since  $F$  preserves the action of  $J_0$  on  $J_1$ . These combine to give

$$F(x.db) = F(x).F(d)F(b),$$

or equivalently,

$$(1c) \quad F(db.x) = F(d)F(b).F(x).$$

By the Jacobi identity:

$$(1d) \quad F(d)F(b).F(x) - F(b)F(x).F(d) + F(x)F(d).F(b) = 0.$$

In (1d) we may replace  $F(b)F(x)$  by  $F(bx)$  and  $F(x)F(d)$  by  $F(xd) = F(a)$ , again since  $F$  preserves the action of  $J_0$  on  $J_1$ . Now apply  $F$  to (1a) and use (1b), (1c), and the modified form of (1d). The result is the desired equation  $F(ab) = F(a)F(b)$ .

This concludes our discussion of uniqueness for all the cases where  $J_1$  is irreducible under  $P$ . We turn to  $SL(m, n)$ , the first of the remaining cases. Let  $J$  be a simple graded Lie algebra with  $SL(m, n)$  as its root system. We shall identify  $J$  with an appropriate "special linear algebra" in a quite straightforward way.

As in [2] we exhibit the algebra matrix style, using  $m + n$  by  $m + n$  matrices. The odd ones have the form

$$\begin{pmatrix} 0 & m \text{ by } n \\ n \text{ by } m & 0 \end{pmatrix},$$

and the even ones

$$\begin{pmatrix} m \text{ by } m & 0 \\ 0 & n \text{ by } n \end{pmatrix},$$

where the displayed  $m$  by  $m$  and  $n$  by  $n$  matrices have equal traces, so that the "graded trace" is 0. We assume  $m \neq n$  at present; at the end of the discussion we shall indicate the changes needed when  $m = n$ . These matrices form a simple graded Lie algebra; call it  $J'$ .

It is convenient to change notation in  $J'$ 's root system. Replace  $e_1, \dots, e_m, f_1, \dots, f_n$  by  $g_1, \dots, g_m, -g_{m+1}, \dots, -g_{m+n}$ . Then we can uniformly assert that the roots are given by all  $g_i - g_j$  ( $i \neq j, i, j = 1, \dots, m+n$ ). Pick any nonzero element in the root space for  $g_1 - g_i$  ( $i = 2, \dots, m+n$ ) and call it  $E_{1i}$ . Pick  $E_{i1}$  in the root space for  $g_i - g_1$  satisfying  $(E_{1i}, E_{i1}) = 1$ . Define  $E_{ij} = E_{i1}E_{1j}$  for  $i \neq j, i, j = 2, \dots, m+n$ . We now have representatives for all the root spaces. Together with the elements  $h_{g_1 - g_i}$ ,  $i = 2, \dots, m+n$ , which form a basis for the Cartan subalgebra of  $J$ , we have a basis of  $J$ . We now map  $J$  into  $J'$  by sending  $E_{ij}$  into  $e_{ij}$  (the usual matrix unit),  $h_{g_1 - g_i}$  into  $e_{11} - e_{ii}$  for  $2 \leq i \leq m$ , and into  $e_{11} + e_{ii}$  for  $m+1 \leq i \leq m+n$ .

That this is an isomorphism is a fairly automatic verification, left to the reader.

This concludes the treatment of  $SL(m, n)$  for  $m \neq n$ . When  $m = n$  we take  $m > 2$ , since  $m = n = 2$  is the 14-dimensional case with 2-dimensional root spaces to be discussed below. Both  $J'$  and  $J$  undergo a 1-dimensional shrinkage. The identity matrix has trace 0 and lies in  $J'$ ; it spans the 1-dimensional center  $Z$  of  $J'$ . We pass to  $J'/Z$  instead, and indulge in an abuse of notation by continuing to write  $e_{ij}$ , although strictly speaking we need a new symbol for the homomorphic image of  $e_{ij}$ . In  $J$  the new feature is that we have

$$\varepsilon_1 + \dots + \varepsilon_m - \varepsilon_{m+1} - \dots - \varepsilon_{2m} = 0.$$

Thus the Cartan subalgebra is  $(2m - 2)$ -dimensional and a basis for it is obtained by deleting (say) the last element  $h_{\varepsilon_1} - h_{\varepsilon_{2m}}$  from the list used above. Subject to these changes, the isomorphism of  $J$  onto  $J'$  is defined as before.

We turn to the 14-dimensional exception. We take  $J'$  exactly as in the preceding paragraph, with  $m = n = 2$ . The algebra  $J$  is equipped with the following roots:  $\pm\lambda, \pm\mu$  as ~~isotropic~~ <sup>odd</sup> roots, and  $\pm\lambda \pm \mu$  (all four signs) as even roots.  $\lambda$  and  $\mu$  <sup>are</sup> isotropic and we have  $(\lambda, \mu) = 1$ . The ~~odd~~ <sup>odd</sup> root spaces  $L_{\pm\lambda}, L_{\pm\mu}$  are 2-dimensional, while the ~~odd~~ <sup>even</sup> ones  $K_{\pm\lambda \pm \mu}$  are 1-dimensional. Take  $E_{12}$  nonzero in  $K_{\lambda + \mu}$  and  $E_{21} \in K_{-\lambda - \mu}$  with  $(E_{12}, E_{21}) = 1$ . Take  $E_{13}$  nonzero in  $L_{\lambda}$ . For  $E_{31}$  we first make a tentative choice

Multiplication induces a pairing from the 2-dimensional spaces  $L_\lambda$ ,  $L_\mu$  to the 1-dimensional space  $K_{\lambda+\mu}$ . We claim that this pairing is nondegenerate. Suppose on the contrary that there is a nonzero element  $r$  in  $L_\lambda$  annihilating  $L_\mu$ . Multiplication by  $r$  sends the 2-dimensional space  $L_{-\mu}$  into the 1-dimensional space  $K_{\lambda-\mu}$ . Hence there is a nonzero element  $s$  in  $L_{-\mu}$  with  $rs = 0$ . Pick  $t$  in  $L_\mu$  with  $st \neq 0$ . Then the vanishing of

$$rs.t + st.r + tr.s$$

yields a contradiction.

We take  $E_{13}$  to be any nonzero element in  $L_\lambda$ . We next make a tentative selection of  $E_{31}$  in  $L_{-\lambda}$  with  $(E_{13}, E_{31}) = 1$ . By what was proved in the preceding paragraph, the annihilator of  $E_{13}$  in

of an element in  $L_-$  with  $(E_{13}, E_{31}) = 1$ . We have  
 $L_{-\mu} \neq 0$ , Lemma 16. Thus multiplication between  $L_+$   
 and  $L_-$  induces a nondegenerate pairing to the  
 1-dimensional space  $K_+$ . The annihilator of  $E_{13}$  in  
 $L_{-\mu}$  is ~~1~~ 1-dimensional, and we pick  $E_{14}$  to be  
 any nonzero element in this 1-dimensional space. Likewise  
 we find  $E_{41}$  in  $L_{-\mu}$  annihilating  $E_{31}$ , unique up to a  
 scalar. Now there is a difficulty. We wish to have  
 $(E_{14}, E_{41}) \neq 0$  and may have failed. Let us retrace  
 our steps and make a fresh choice  $E_{31}' = E_{31} + z$  with  
 $z \neq 0$ ,  $(E_{13}, z) = 0$ . This changes  $E_{41}$  to  $E_{41}'$ , say.  
 Since  $E_{31}$  and  $E_{31}'$  are linearly independent, so are  
 their annihilators  $E_{41}$  and  $E_{41}'$  in  $L_{-\mu}$ . If  $(E_{14}, E_{41}')$   
 is also 0 then  $E_{14}$  annihilates all of  $L_{-\mu}$ , a contradiction  
 of the nondegeneracy proved in the preceding paragraph.  
 So by our revised choice of  $E_{31}$  we have achieved  
 (after a change of notation)  $(E_{14}, E_{41}) \neq 0$ . A  
 further normalization (multiplication of  $E_{41}$  by a  
 suitable scalar) allows us to assume  $(E_{14}, E_{41}) = 1$ .

For  $i \neq j$ ,  $i, j = 2, 3, 4$ , we next define  
 $E_{ij} = E_{i1}E_{1j}$ . Before proceeding further we need to  
 check that the 12 E's, along with the basis  $h_\lambda, h_\mu$   
 for the Cartan subalgebra, form a basis of  $J$ . There  
 are two typical points to settle.

(1)  $E_{31}E_{14} = E_{34}$  is nonzero, and therefore  
 spans  $K_{\lambda-\mu}$ . This is deduced at once from the  
 Jacobi identity



$$E_{13}E_{31} \cdot E_{14} + E_{31}E_{14} \cdot E_{13} + E_{14}E_{13} \cdot E_{31} = 0,$$

for the last term vanishes while the first is nonzero, since  $E_{13}E_{31} = h_\lambda$ ,  $E_{14} \in L_\mu$ , and  $(\lambda, \mu) = 1$ .

(2)  $E_{13}$  and  $E_{42}$  form a basis of  $L_\lambda$ . The alternative is that  $E_{42}$  is a scalar multiple of  $E_{13}$  and therefore annihilates  $E_{14}$ . Since  $E_{42}$  equals  $E_{41}E_{12}$  by definition, this means the vanishing of the ~~first~~ <sup>second</sup> term in

~~$$E_{14}E_{41}E_{12} - E_{41}E_{12}E_{14} + E_{12}E_{14}E_{41} = 0.$$~~

$$E_{14}E_{41}E_{12} - E_{41}E_{12}E_{14} + E_{12}E_{14}E_{41} = 0.$$

The third term vanishes since  $E_{12} \in K_{\lambda+\mu}$ ,  $E_{14} \in L_\mu$  and  $2\lambda + \mu$  is not a root. The first term does not vanish since  $E_{14}E_{41} = h_\mu$  <sup>and</sup> ~~implies~~  $h_\mu E_{12} = E_{12}$ .

Using this basis of  $J$  we map  $J$  to  $J'$  as before:  $E_{ij}$  to  $e_{ij}$ ,  $h_\lambda$  to  $e_{11} + e_{33}$ ,  $h_\mu$  to  $e_{22} + e_{44}$ . The routine verification that we have an isomorphism is again left to the reader.

The final case of reducibility of  $J_1$  which we must handle in a special way is  $OSp(m, 1)$ . We follow the same pattern as the discussion of  $SL$ , first setting up a "concrete" algebra  $J'$  as a target. We exhibit  $J'$  as the set of all  $2m + 2$  by  $2m + 2$  matrices of the form

$$\begin{pmatrix} A_{11} & A_{12} & \cdots & A_{1m} & B_1 \\ -A_{12}^* & A_{22} & \cdots & A_{2m} & B_2 \\ & & \cdots & & \\ -A_{1m}^* & -A_{2m}^* & \cdots & A_{mm} & B_m \\ -B_1^\dagger & -B_2^\dagger & \cdots & -B_m^\dagger & a & 0 \\ & & & & 0 & -a \end{pmatrix}.$$

Here the A's and B's are 2 by 2, each  $A_{ii}$  has trace 0, and

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^* = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix}^\dagger = \begin{pmatrix} d & b \\ c & a \end{pmatrix}.$$

The roots in the algebra J are:  $\pm 2e_i$  and  $\pm e_i \pm f$  ( $i = 1, \dots, m$ ). Pick any non-zero elements  $s, s_2, \dots, s_m, s'$  in the root spaces for  $2e_1, e_1 - e_2, \dots, e_1 - e_m, e_1 - f$ . Pick  $t, t_2, \dots, t_m, t'$  in the root spaces for the negatives of these roots, making each inner product equal to 1. We claim that the following assignment determines uniquely an isomorphism of J onto J':

$$\begin{aligned} s &\rightarrow e_{12}, \quad t \rightarrow e_{21} \\ s_i &\rightarrow e_{1,2i-1} - e_{2i,2}, \quad t_i \rightarrow e_{2,2i} - e_{2i-1,1} \quad (i = 2, \dots, m) \\ s' &\rightarrow e_{1,2m+1} + e_{2m+2,2}, \quad t' \rightarrow e_{2,2m+2} + e_{2m+1,1}. \end{aligned}$$

Details are omitted.

~~With this point established, it is easy to see that changing F by a scalar makes it multiplicative on  $\mathfrak{S}\mathfrak{T}$ ; the main argument now applies.~~

3. Existence.

$\Gamma(A, B, C)$ . We simply exhibit a multiplication table; this is not too onerous for a 17-dimensional algebra treated with a liberal dose of symmetry. But we shall briefly explain how the table was constructed. Pursuing the goal of symmetry, we use the notation  $a_i$  ( $i = 1, \dots, 4$ ) for root vectors for  $\lambda, \mu, \nu$ , and  $-\lambda - \mu - \nu$ ,  $x_i$  for the corresponding negative root vectors, and  $h_i$  for the corresponding elements of the Cartan subalgebra. The relation  $h_1 + h_2 + h_3 + h_4 = 0$  is required. The products  $a_i x_i$  are normalized to be  $h_i$ . In place of the scalars A, B, C the notation  $p_{ij}$  is used; here  $i \neq j$ ,  $i$  and  $j$  range from 1 to 4,  $p_{ij} = p_{ji}$ , and  $p_{ij} = p_{km}$  for  $i, j, k, m$  different. The restriction  $p_{12} + p_{13} + p_{14} = 0$  is imposed, reflecting  $A + B + C = 0$ .

The relations below are now all inevitable, with one exception: the equations  $x_i x_j = a_k a_m$  (and their consequences) need not hold. The elements  $x_i x_j$  and  $a_k a_m$  are related by a scalar, and it turns out that the scalar is invariant under permutations of  $i, j, k, m$ . A fresh selection of  $a_1$  normalizes the scalar to be 1. It would be redundant to offer a proof since all this is a consequence of the uniqueness proved in the preceding section.

Here are the 17 basis elements:  $a_1, a_2, a_3, a_4, x_1, x_2, x_3, x_4, h_1, h_2, h_3, a_{12}, a_{13}, a_{14}, a_{23}, a_{24},$  and  $a_{34}$ . The first 8 are odd and the remaining 9 are even. It is convenient to use both  $a_{ij}$  and  $a_{ji}$ , setting them equal. In the table, products are given in only one order, since parity determines the sign of the opposite product. All square <sup>✓</sup> of basis elements are 0 and are omitted from the display. The subscripts are always distinct.

$$\begin{aligned} a_i a_j &= a_{ij}, a_i x_i = h_i, a_i x_j = 0, h_i a_i = 0, \\ h_i a_j &= -p_{ij} a_j, a_i a_{ij} = 0, a_{ij} a_k = p_{ij} x_m, x_i x_j = a_{km}, \\ h_i x_i &= 0, h_i x_j = p_{ij} x_j, a_{ij} x_i = p_{ij} x_j, a_{ij} x_k = 0, \\ h_i h_j &= 0, h_i a_{ij} = p_{ij} a_{ij}, h_i a_{jk} = p_{jk} a_{jk}, a_{ij} a_{ik} = 0, \\ a_{ij} a_{km} &= -p_{ij} (h_i + h_j). \end{aligned}$$

The task of verifying the Jacobi identity is left to the reader. It is helpful to begin by recognizing that the 9 even basis elements span the (ordinary) Lie algebra  $A_1 \oplus A_1 \oplus A_1$ , and that the 8 odd elements span a representation space for it. If symmetry is fully used, only a handful of easy verifications remain.

$\Gamma_2$ . Take  $J_0$  to be  $G_2 \oplus A_1$ . Take the 14-dimensional  $J_1$  to be  $C \otimes V$  where  $C$  denotes the 7-dimensional space of elements of trace 0 in a Cayley matrix algebra and  $V$  is a 2-dimensional space carrying a nonsingular alternate product  $(, )$ . One has  $G_2$  acting on  $C$  in the standard way and  $A_1$  on  $V$  in the natural way.\* To facilitate use of the material on pages 142-3 of [1] I will in this discussion place linear transformations on the right.

\* As lin. transfs. skew relative to the form on  $V$ .

It remains to define the multiplication on  $J_1$ . We first define certain maps  $\emptyset$  and  $\psi$ .

$\emptyset: C \times C \rightarrow G_2$ . This is the map which appears on [1, p. 143]:  $\emptyset(c, d) = [L_c L_d] + [L_c R_d] + [R_c R_d]$ ,  $L$  and  $R$  denoting left and right multiplication. It turns out that  $\emptyset(c, d)$  defines a derivation of the Cayley matrix algebra and so lies in  $G_2$ . Note that  $\emptyset$  is alternate.

$\psi: V \times V \rightarrow A_1$ . For  $v, w$  in  $V$  define  $\psi(v, w)$  to be the linear transformation on  $V$  given by

$$x\psi(v, w) = (x, v)w + (x, w)v.$$

We need to know that  $\psi$  is alternate relative to the form, i. e. we need

$$(2) \quad (x\psi, y) = -(x, y\psi).$$

Equation (2) is correct since it reduces to

$$(x, v)(w, y) + (x, w)(v, y) = -(y, v)(x, w) - (y, w)(x, v).$$

So  $\psi \in A_1$ . Note also that  $\psi$  is symmetric as a function of  $v$  and  $w$ :  $\psi(v, w) = \psi(w, v)$ .

The product from  $J_1 \times J_1$  to  $J_0$  is now defined by

$$(c \otimes v)(d \otimes w) = (v, w)\emptyset(c, d) + 4\text{tr}(cd)\psi(v, w),$$

where  $\text{tr}$  denotes the trace on the Cayley matrix algebra, normalized so that  $\text{tr}(1) = 1$ . Since  $(v, w)$  and  $\emptyset(c, d)$  are both alternate bilinear functions, while  $\text{tr}(cd)$  and  $\psi(v, w)$  are both symmetric, this multiplication is commutative.

The Jacobi identity must now be verified. Although this is a task that can be mechanized, some detail is offered. There are two major cases.

I. Two elements of  $J_1$  and one of  $J_0$ . Take the members of  $J_1$  as  $c \otimes v$  and  $d \otimes w$ , and the member of  $J_0$  as  $D + T$ , with  $T$  an alternate linear transformation on  $V$  and  $D$  a derivation of  $C$  (more accurately, a derivation of the Cayley matrix algebra of which  $C$  is the subset of elements of trace 0). The Jacobi identity reads

$$(3) \quad (c \otimes v)(d \otimes w)(D + T) - (d \otimes w)(D + T)(c \otimes v) \\ + (D + T)(c \otimes v)(d \otimes w) = 0.$$

In working with (3), it is to be observed that  $D$  or  $T$  to the left of an element gives a result which is the negative of what is obtained when it is placed on the right. Thus  $(d \otimes w)(D + T) = dD \otimes w + d \otimes wT$  and  $(D + T)(c \otimes v) = -cD \otimes v - c \otimes vT$ . Note also that the first term of (3) is really a commutator. We check the four constituents of (3) separately.

(i) The  $A_1$ -component arising from  $T$ . After suppressing a factor  $4\text{tr}(cd)$  we find

$$(4) \quad [\psi(v, w), T] - \psi(wT, v) - \psi(vT, w)$$

as the expression we have to prove equal to 0. Apply

(4) to  $x \in V$ . The result is

$$\{ (x, v)w + (x, w)v \} T - \{ (xT, v)w + (xT, w)v \} \\ - \{ (x, wT)v + (x, v)wT \} - \{ (x, vT)w + (x, w)vT \}$$

which does indeed vanish (use the fact that  $T$  is alternate).

(ii) The  $G_2$ -component arising from  $T$ . This is simply

$$-(wT, v)\varnothing(d, c) - (vT, w)\varnothing(c, d)$$

and vanishes because  $\varnothing$  is alternate, the form on  $V$  is alternate, and  $T$  is alternate relative to the form.

(iii) The  $A_1$ -component arising from  $D$ . After suppression of a factor  $-4\psi(v, w)$  this is  $\text{tr}(dD.c) + \text{tr}(cD.d)$ , whose vanishing is a known property of derivations of a Cayley algebra.

(iv) The  $G_2$ -component arising from  $D$ . We need

$$(5) \quad (v, w)[\varnothing(c, d), D] - (w, v)\varnothing(dD, c) - (v, w)\varnothing(cD, d) = 0.$$

The fact that  $D$  is a derivation implies

$$(6) \quad [L_c D] = L_{cD}, \quad [R_c D] = R_{cD}$$

for any  $c \in C$ . By two applications of the first half of (6) we get

$$(7) \quad L_c L_d D = L_c (L_{dD} + DL_d) = L_c L_{dD} + (L_{cD} + DL_c) L_d.$$

In (7) interchange  $c$  and  $d$  and subtract the two equations. The result is

$$(8) \quad [[L_c L_d] D] = [L_c L_{dD}] + [L_{cD} L_d].$$

By two similar computations we get

$$(9) \quad [[R_c R_d] D] = [R_c R_{dD}] + [R_{cD} R_d].$$

$$(10) \quad [[L_c R_d] D] = [L_c R_{dD}] + [L_{cD} R_d].$$

Add (8), (9), and (10):

$$(11) \quad [\varnothing(c, d), D] = \varnothing(c, dD) + \varnothing(cD, d).$$

In view of the fact that  $(, )$  and  $\varnothing$  are both alternate, the desired equation (5) is a consequence of (11).

## II. Three elements of $J_1$ .

(i) Suppose that the  $V$ -components of the three elements are linearly dependent in pairs. Since the definition of  $\psi$  shows that  $v\psi(v, v) = 0$ , it follows readily that each of the

triple products appearing in the Jacobi identity vanishes.

(ii) We suppose the contrary. We may then take the three elements to be  $c \otimes v$ ,  $d \otimes v$ , and  $e \otimes w$ , with  $(v, w) = 1$ .

We take the requisite Jacobi identity in the form

$$(12) \quad (e \otimes w) \cdot (c \otimes v)(d \otimes v) + (c \otimes v) \cdot (d \otimes v)(e \otimes w) \\ + (d \otimes v) \cdot (e \otimes w)(c \otimes v) = 0.$$

Expand (12) by the definitions, and use  $w\psi(v, v) = -2v$ ,  $v\psi(v, w) = v$ . We reach

$$(13) \quad -8\text{tr}(cd)(e \otimes v) + (c \otimes v)\delta(d, e) + 4\text{tr}(de)(c \otimes v) \\ + (d \otimes v)\delta(c, e) + 4\text{tr}(ce)(d \otimes v).$$

Since the second factor of the tensor is always  $v$ , (13) is the tensor product of  $v$  with

$$(14) \quad -8\text{tr}(cd)e + c\delta(d, e) + 4\text{tr}(de)c + d\delta(c, e) + 4\text{tr}(ce)d.$$

Expand  $c\delta(de)$  by the definition of  $\delta$ :

$$(15) \quad c\delta(d, e) = e \cdot dc - d \cdot ec + dc \cdot e - d \cdot ce + cd \cdot e - ce \cdot d.$$

To get  $d\delta(c, e)$  we interchange  $c$  and  $d$  in (15). The upshot is that we need the following identity for Cayley numbers of trace 0:

$$(16) \quad 2(cd + dc)e + e(cd + dc) - d(ec + ce) - c(de + ed) \\ - ce \cdot d - de \cdot c = 8\text{tr}(cd)e - 4\text{tr}(de)c - 4\text{tr}(ce)d.$$

So our discussion concludes with a verification of (16). The fundamental ingredient is the fact that the square of any Cayley number of trace 0 is a scalar. Linearizing this we get that  $cd + dc$  is a scalar for  $c, d \in C$ . This scalar is

equal to  $2\text{tr}(cd)$ . So we have

$$(17) \quad e(cd + dc) = 2\text{tr}(cd)e,$$

$$(18) \quad 2(cd + dc)e + e(cd + dc) = 6\text{tr}(cd)e,$$

$$(19) \quad d(ec + ce) = 2\text{tr}(ce)d,$$

$$(20) \quad c(de + ed) = 2\text{tr}(de)c.$$



A fundamental property of alternative rings is that the associator  $ec.d - e.cd$  is an alternating function of its arguments. Hence

$$(21) \quad (ec.d - e.cd) + (ed.c - e.dc) = 0.$$

~~By combining~~

By combining (17)-(21) we get (16).