

Ostrava Seminar on Mathematical Physics
March 28, 2018

Spectral properties of periodic Schrödinger operators with δ' -potentials

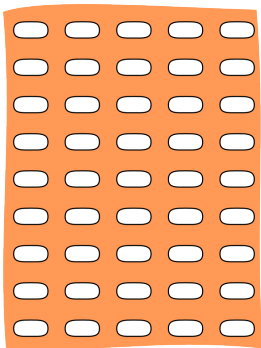
Andrii Khrabustovskyi

Graz University of Technology

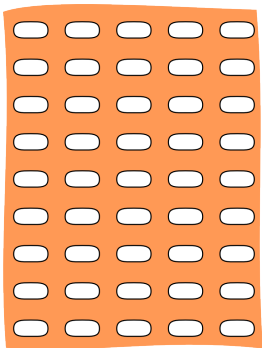
joint work with Pavel Exner
arXiv:1802.07522

Our goal in a nutshell

Construct periodic media with prescribed spectral properties

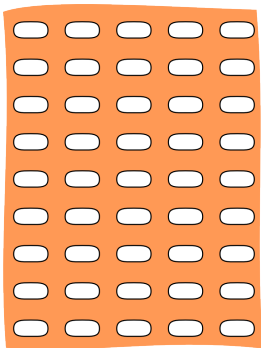


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It is known that the spectra of such operators have a band structure, i.e. the spectrum is a locally finite union of compact intervals called **bands**.

The interval (a, b) is called a **gap** in the spectrum $\sigma(\mathcal{A})$ of the operator \mathcal{A} if

$$(a, b) \cap \sigma(\mathcal{A}) = \emptyset \text{ and } a, b \in \sigma(\mathcal{A}).$$

Example: Periodic Schrödinger operator on the line

We consider the following operator acting in $L^2(\mathbb{R})$:

$$\mathcal{A}u = -u'' + Vu, \quad \text{dom}(\mathcal{A}) = H^2(\mathbb{R}).$$

The potential $V \in C(\mathbb{R})$ satisfies $V(x+1) = V(x)$, $\forall x$.

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To describe $\sigma(\mathcal{A})$ we inspect auxiliary operators \mathcal{A}_θ , $\theta \in [0, 2\pi]$:

$$\begin{aligned} \mathcal{A}_\theta \text{ acts in } L^2(0, 1), \quad \mathcal{A}_\theta u = -u'' + Vu, \\ \text{dom}(\mathcal{A}_\theta) = \{u \in H^2(0, 1) : u(1) = u(0)e^{i\theta}, u'(1) = u'(0)e^{i\theta}\}. \end{aligned}$$

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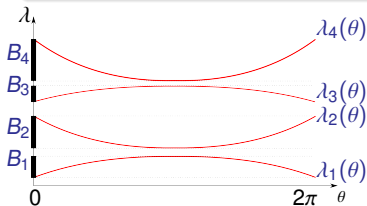
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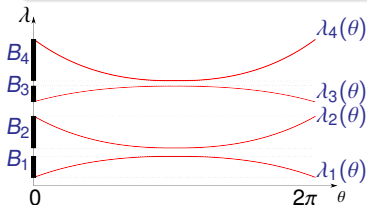
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**Floquet-Bloch decomposition**

$$\sigma(\mathcal{A}) = \bigcup_{k=1}^{\infty} B_k,$$

where $B_k = \{\lambda_k(\theta), \theta \in [0, 2\pi]\}$.

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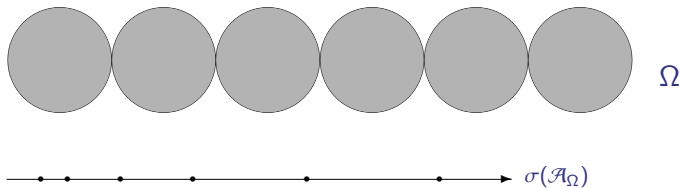
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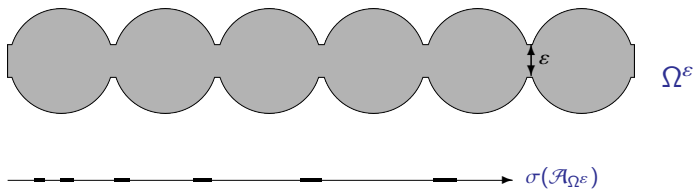


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The class \mathcal{P} may consist of

- periodic Schrödinger operators, $-\Delta + V$
- periodic elliptic operators, $-\operatorname{div}(a(x)\nabla)$
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R. Hempel, O. Post, **Spectral gaps for periodic elliptic operators with high contrast: an overview**

Progress in analysis, Vol. I, II, 577587, World Sci. Publ., 2003.

P. Kuchment, **The mathematics of photonic crystals**

Ch. 7 in "Mathematical modeling in optical science", 207–272, Frontiers Appl. Math., 22, SIAM, Philadelphia, PA, 2001.

Possible applications: photonic crystals

Photonic crystals are periodic nanostructures that have been attracting much attention in recent years. Their characteristic feature is that the electromagnetic waves of certain frequencies fail to propagate in them, which is caused by gaps in the spectrum of the corresponding Maxwell operators (or related scalar operators).

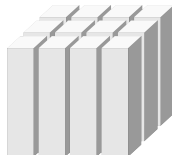
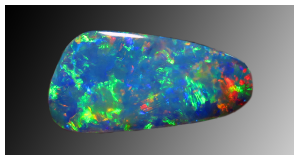


Figure 1: Opal – an example of natural photonic crystal (photo: <https://en.wikipedia.org/wiki/Opal>).

Figure 2: The dielectric constant equals 1 on the vertical columns, and it equals $\gg 1$ in the rest of the media.

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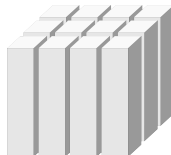
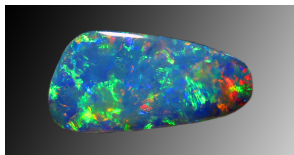


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Photonic Crystals. Mathematical Analysis and Numerical Approximation,
Springer, Berlin, 2011.

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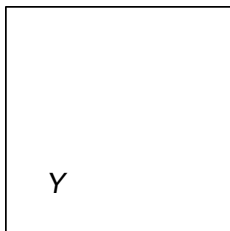
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- **Periodic Schrödinger operators with singular potentials**

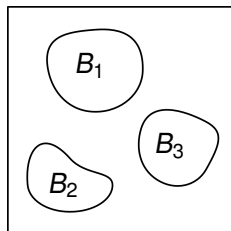
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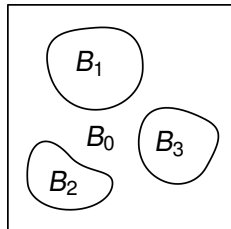
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$$\overline{B_{j_1}} \cap \overline{B_{j_2}} = \emptyset, \quad \bigcup_{j=1}^m \overline{B_j} \subset Y$$



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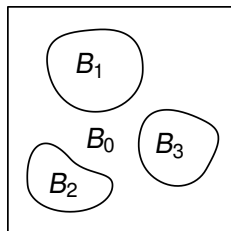
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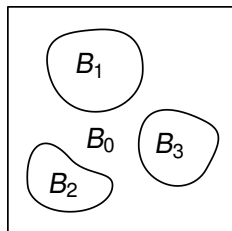
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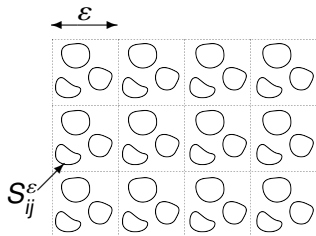
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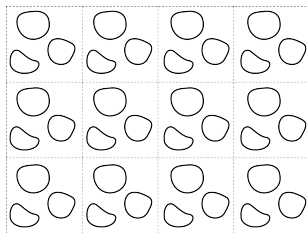
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- $\varepsilon > 0$ - a small parameter
- $S_{ij}^\varepsilon := \varepsilon(\partial B_j + i), i \in \mathbb{Z}^n, j = 1, \dots, m$





By \mathcal{A}^ε we denote the operator acting in the space $L^2(\mathbb{R}^n)$,

- $\mathcal{A}^\varepsilon u = -\Delta u$
- $u \in \text{dom}(\mathcal{A}^\varepsilon)$ satisfies the following interface conditions on S_{ij}^ε :

$$\left(\frac{\partial u}{\partial n}\right)_{ij}^+ = \left(\frac{\partial u}{\partial n}\right)_{ij}^-, \quad q_j \varepsilon^{-1} \left(\frac{\partial u}{\partial n}\right)_{ij}^\pm + ((u)_{ij}^- - (u)_{ij}^+) = 0, \quad (*)$$

where $q_j > 0$.

For $j = 1, \dots, m$ we set:

$$a_j := q_j^{-1} |\partial B_j| |B_j|^{-1}.$$

It is assumed that the numbers a_j are pairwise non-equivalent. We renumber them in the ascending order: $a_j < a_{j+1}$, $j = 1, \dots, m + 1$.

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We consider the following equation (with unknown $\lambda \in \mathbb{C}$):

$$\mathcal{F}(\lambda) = 0, \text{ where } \mathcal{F}(\lambda) := 1 + \frac{1}{|B_0|} \sum_{i=1}^m \frac{q_i^{-1} |\partial B_i|}{\lambda - q_i^{-1} |\partial B_i| |B_i|^{-1}}.$$

It has exactly m roots b_j satisfying (after appropriate renumbering)

$$a_j < b_j < a_{j+1}, \quad j = 1, \dots, m-1, \quad a_m < b_m < \infty.$$

Theorem 1

Let $L > 0$ be an arbitrary number. Then the spectrum of the operator \mathcal{A}^ε in $[0, L]$ has the following structure for ε small enough:

$$\sigma(\mathcal{A}^\varepsilon) \cap [0, L] = [0, L] \setminus \bigcup_{j=1}^m (a_j(\varepsilon), b_j(\varepsilon)),$$

where the endpoints of the intervals $(a_j(\varepsilon), b_j(\varepsilon))$ satisfy the following relations as $\varepsilon \rightarrow 0$,

$$0 \leq a_j - a_j(\varepsilon) = O(\varepsilon), \quad 0 \leq b_j - b_j(\varepsilon) = O(\varepsilon).$$

Let (α_j, β_j) , $j = 1, \dots, m$ be arbitrary intervals satisfying

$$0 < \alpha_1, \quad \alpha_j < \beta_j < \alpha_{j+1}, \quad j = \overline{1, m-1}, \quad \alpha_m < \beta_m < \infty.$$

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Theorem 2

One has

$$a_j = \alpha_j, \quad b_j = \beta_j, \quad j = 1, \dots, m$$

provided the domains B_j and the numbers q_j satisfy

$$\frac{|B_j|}{|B_0|} = \frac{\beta_j - \alpha_j}{\alpha_j} \prod_{i=1, m | i \neq j} \left(\frac{\beta_i - \alpha_j}{\alpha_i - \alpha_j} \right), \quad q_j = \frac{|B_j|}{\alpha_j |\partial B_j|}, \quad j = 1, \dots, m.$$

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$$\lambda_k^N(\varepsilon), \quad \lambda_k^D(\varepsilon), \quad \lambda_k^{\text{per}}(\varepsilon), \quad \lambda_k^{\text{antiper}}(\varepsilon)$$

we denote the k -th eigenvalues of the operator in $L^2(Y^\varepsilon)$ being defined by

- the operation $-\Delta$,
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- **Neumann**, **Dirichlet**, **periodic**, **antiperiodic** conditions on ∂Y^ε .

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One has the following enclosures:

$$[\lambda_k^{\text{per}}(\varepsilon), \lambda_k^{\text{antiper}}(\varepsilon)] \subset L_k(\varepsilon) \subset [\lambda_k^N(\varepsilon), \lambda_k^D(\varepsilon)]$$



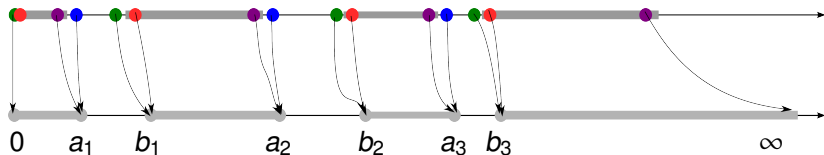


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Thank you for your attention!