## Smilansky-Solomyak model with a $\delta'$ -interaction

## Jiří Lipovský

University of Hradec Králové, Faculty of Science jiri.lipovsky@uhk.cz

joint work with P. Exner

Ostrava, April 6, 2018



# Smilansky-Solomyak model

- the usual way of constructing time-irreversible system is to couple the Hamiltonian with the bath of infinite degrees of freedom
- but infinitely many degrees of freedom are not necessary
- Uzy Smilansky proposed the model consisting of a quantum graph coupled with harmonic oscillators (a harmonic oscillator) and showed that if coupling is large enough, this system exhibits irreversible behaviour
- simplest model: Schrödinger operator on a line coupled with a  $\delta$  condition with a harmonic oscillator largely studied
- $\bullet$  our model: Schrödinger operator on a line coupled with a  $\delta'$  condition with a harmonic oscillator

# Original Smilansky model

• the Hamiltonian formally written as

$$\mathbf{H}_{\alpha} = -\frac{\partial^2}{\partial x^2} + \frac{1}{2} \left( -\frac{\partial^2}{\partial y^2} + y^2 \right) + \alpha y \delta(x) \,,$$

• precisely defined as a differential operator in  $L^2(\mathbb{R}^2)$ 

$$\mathbf{H}_{lpha}\Psi=-rac{\partial^{2}\Psi}{\partial x^{2}}+rac{1}{2}\left(-rac{\partial^{2}\Psi}{\partial y^{2}}+y^{2}\Psi
ight)\,,$$

with the domain consisting of functions satisfying

$$\frac{\partial \Psi}{\partial x}(0+,y) - \frac{\partial \Psi}{\partial x}(0-,y) = \alpha y \Psi(0,y) \quad \text{for} \quad y \in \mathbb{R} \,.$$

• swap  $\alpha \to -\alpha$  is equivalent to the change  $y \to -y$  and hence it does not influence the spectrum, we can assume only  $\alpha > 0$ 

# Spectral properties of the original Smilansky model

- the continuous spectrum covers the interval  $(1/2,\infty)$  for  $\alpha < \sqrt{2}$ , covers the interval  $(0,\infty)$  if  $\alpha = \sqrt{2}$  and the whole real axis if  $\alpha > \sqrt{2}$
- for α ∈ (0, √2) the discrete spectrum is nonempty, simple and is contained in (0, 1/2); for α > √2 the point spectrum is empty
- the number of eigenvalues increases as  $\alpha \to \sqrt{2}$ :

$$N(rac{1}{2},\mathbf{H}_{lpha})\simrac{1}{4}\sqrt{rac{1}{\sqrt{2}(\sqrt{2}-lpha)}}$$

• for  $\alpha$  large enough there is only one eigenvalue which behaves as

$$\varepsilon_1(\alpha) = \frac{1}{2} - \frac{\alpha^4}{64} + \mathcal{O}(\alpha^5)$$

# Smilansky model with $\delta'$ -interaction

• the Hamiltonian formally written as

$$\mathbf{H}_{eta} = -rac{\partial^2}{\partial x^2} + rac{1}{2}\left(-rac{\partial^2}{\partial y^2} + y^2
ight) + rac{eta}{y}\,\delta'(x)\,,$$

• precisely defined as a differential operator in  $L^2(\mathbb{R}^2)$ 

$$\mathbf{H}_{\beta}\Psi(x,y) = -\frac{\partial^{2}\Psi}{\partial x^{2}}(x,y) + \frac{1}{2}\left(-\frac{\partial^{2}\Psi}{\partial y^{2}}(x,y) + y^{2}\Psi(x,y)\right)$$

with the domain consisting of functions in  $\Psi \in H^2((0,\infty) \times \mathbb{R}) \oplus H^2((-\infty,0) \times \mathbb{R})$  satisfying

$$\Psi(0+,y) - \Psi(0-,y) = \frac{\beta}{y} \frac{\partial \Psi}{\partial x}(0+,y), \qquad (1)$$

$$\frac{\partial \Psi}{\partial x}(0+,y) = \frac{\partial \Psi}{\partial x}(0-,y).$$
 (2)

• again, swap  $\beta \to -\beta$  is equivalent to the change  $y \to -y$  and hence it does not influence the spectrum, we can assume only  $\beta > 0$ 

Spectral properties of the Smilansky model with  $\delta^\prime$ 

Theorem 1 (absolutely continuous spectrum of the operators  $\boldsymbol{H}_0$  and  $\boldsymbol{H}_\beta)$ 

The spectrum of operator  $\mathbf{H}_0$  is purely absolutely continuous,  $\sigma(\mathbf{H}_0) = [\frac{1}{2}, \infty)$  with  $\mathfrak{m}_{ac}(E, \mathbf{H}_0) = 2n$  for  $E \in (n - \frac{1}{2}, n + \frac{1}{2})$ ,  $n \in \mathbb{N}$ . For  $\beta > 2\sqrt{2}$  the absolutely continuous spectrum of  $\mathbf{H}_\beta$  coincides with the spectrum of  $\mathbf{H}_0$ . For  $\beta \le 2\sqrt{2}$  there is a new branch of continuous spectrum added to the spectrum of  $\mathbf{H}_0$ . For  $\beta = 2\sqrt{2}$ we have  $\sigma(\mathbf{H}_\beta) = [0, \infty)$  and for  $\beta < 2\sqrt{2}$  the spectrum covers the whole real line.

 $\bullet \ \mathfrak{m}_{\mathrm{ac}}$  denote the multiplicity function of the absolutely continuous spectra

Theorem 2 (discrete spectrum of the operator  $\mathbf{H}_{\beta}$  for  $\beta \in (2\sqrt{2}, \infty)$ )

Assume  $\beta \in (2\sqrt{2}, \infty)$ , then the discrete spectrum of  $\mathbf{H}_{\beta}$  is nonempty and lies in the interval  $(0, \frac{1}{2})$ . The number of eigenvalues is approximately given by

$$rac{1}{4\sqrt{2\left(rac{eta}{2\sqrt{2}}-1
ight)}}$$
 as  $eta
ightarrow 2\sqrt{2}+$  .

Theorem 3 (discrete spectrum of the operator  $\mathbf{H}_{\beta}$  for large  $\beta$ )

For large enough  $\beta$  there is a single eigenvalue which asymptotically behaves as

$$\Lambda_1 = rac{1}{2} - rac{4}{eta^4} + \mathcal{O}\left(eta^{-5}
ight) \, .$$

## The quadratic form

• the quadratic form  $\mathbf{a}_{\beta}[\Psi] = \mathbf{a}_0[\Psi] + \frac{1}{\beta}\mathbf{b}[\Psi]$ 

$$\begin{aligned} \mathbf{a}_{0}[\Psi] &= \int_{\mathbb{R}^{2}} \left( \left| \frac{\partial \Psi}{\partial x} \right|^{2} + \frac{1}{2} \left| \frac{\partial \Psi}{\partial y} \right|^{2} + \frac{1}{2} y^{2} |\Psi|^{2} \right) \, \mathrm{d}x \mathrm{d}y \,, \\ \mathbf{b}[\Psi] &= \int_{\mathbb{R}} y \, |\Psi(0+,y) - \Psi(0-,y)|^{2} \, \mathrm{d}y \end{aligned}$$

is associated with the operator  ${\bf H}_{\beta}.$  The domain  $D={\rm dom}\,{\bf a}_0$  of the form  ${\bf a}_0$  is

$$D=ig\{\Psi\in H^1((0,\infty) imes\mathbb{R})\oplus H^1((-\infty,0) imes\mathbb{R})$$
 ; a $_0[\Psi]<\inftyig\}$ 

## Bound on the quadratic form

Theorem 4

If  $\beta \geq 2\sqrt{2}$  it holds

$$\mathbf{a}_{eta}[\Psi] \geq rac{1}{2} \left(1 - rac{2\sqrt{2}}{eta}
ight) \|\Psi\|^2 \,.$$

Lemma 5

For complex numbers c, d it holds  $2|\text{Re}(\bar{c}d)| \le |c|^2 + |d|^2$ .

### Lemma 6

It holds

$$egin{aligned} &\gamma(|\psi(0+)|^2+|\psi(0-)|^2) \leq \int_{\mathbb{R}} \left(|\psi'(x)|^2+\gamma^2|\psi(x)|^2
ight)\,\mathrm{d}x\ &\forall\psi\in H^1((0,\infty))\oplus H^1((-\infty,0))\,,\quad \gamma>0\,, \end{aligned}$$

with the equality attained on the subspace generated by

$$\widetilde{\psi}_{\gamma}(x) = \frac{\operatorname{sgn} x}{\sqrt{2\gamma}} e^{-\gamma|x|}, \quad \gamma > 0.$$
(3)

#### Proof of Lemma 6.

we have

$$\begin{split} 0 &\leq \int_{-\infty}^{0} |\psi'(x) - \gamma \psi(x)|^2 \, \mathrm{d}x = \int_{-\infty}^{0} (|\psi'(x)|^2 + \gamma^2 |\psi(x)|^2) \, \mathrm{d}x \\ &- \gamma \int_{-\infty}^{0} (\bar{\psi}'(x)\psi(x) + \psi'(x)\bar{\psi}(x)) \, \mathrm{d}x \\ &= \int_{-\infty}^{0} (|\psi'(x)|^2 + \gamma^2 |\psi(x)|^2) \, \mathrm{d}x - \gamma [|\psi(x)|^2]_{-\infty}^{0-} \,, \end{split}$$

and therefore

$$\int_{-\infty}^{0} (|\psi'(x)|^2 + \gamma^2 |\psi(x)|^2) \, \mathrm{d}x \ge \gamma |\psi(0-)|^2 \, .$$

• similarly,  $0 \leq \int_0^\infty |\psi'(x) + \gamma\psi(x)|^2 dx$  implies  $\int_0^\infty (|\psi'(x)|^2 + \gamma^2 |\psi(x)|^2) dx \geq \gamma |\psi(0+)|^2$ , and combining both inequalities one obtains the result.

Proof of Theorem 4.

 we use separation of variables and the expansion of Ψ in the harmonic oscillator basis, i.e. Hermite functions in the variable y normalized in L<sup>2</sup>(R)

$$\Psi(x,y) = \sum_{n \in \mathbb{N}_0} \psi_n(x) \chi_n(y) , \qquad (4)$$

• we insert this into the form **a**<sub>0</sub>

$$\mathbf{a}_0[\Psi] = \sum_{n \in \mathbb{N}_0} \int_{\mathbb{R}} \left( |\psi_n'(x)|^2 + \left(n + \frac{1}{2}\right) |\psi_n(x)|^2 \right) \, \mathrm{d}x \quad (5)$$

• we use twice expansion (4) and the relation

$$\sqrt{n+1}\chi_{n+1}(y) - \sqrt{2}y\chi_n(y) + \sqrt{n}\chi_{n-1}(y) = 0, \quad n \in \mathbb{N}_0,$$
(6)

we obtain

$$\mathbf{b}[\Psi] = \frac{1}{\sqrt{2}} \int_{\mathbb{R}} \sum_{m \in \mathbb{N}_0} \sum_{n \in \mathbb{N}_0} (\bar{\psi}_m(0+) - \bar{\psi}_m(0-)) \bar{\chi}_m(y)$$

$$(\psi_n(0+) - \psi_n(0-)) \left[ \sqrt{n+1} \chi_{n+1}(y) + \sqrt{n} \chi_{n-1}(y) \right] dy$$

$$= \frac{1}{\sqrt{2}} \sum_{n \in \mathbb{N}_0} [(\bar{\psi}_{n+1}(0+) - \bar{\psi}_{n+1}(0-)) \sqrt{n+1} + (\bar{\psi}_{n-1}(0+) - \bar{\psi}_{n-1}(0-)) \sqrt{n}] (\psi_n(0+) - \psi_n(0-)) =$$

$$= \frac{2}{\sqrt{2}} \sum_{n \in \mathbb{N}} \sqrt{n} \operatorname{Re} \left[ (\bar{\psi}_n(0+) - \bar{\psi}_n(0-)) (\psi_{n-1}(0+) - \psi_{n-1}(0-)) \right].$$
(7)

 we employed the Hermite functions orthonormality here and in the last line we have changed the summation index, n+1 → n, in the first part of the sum

• it follows from Lemma 5 that

$$\begin{split} |\mathbf{b}[\Psi]| &\leq \frac{1}{\sqrt{2}} \sum_{n \in \mathbb{N}} \sqrt{n} (|\psi_n(0+) - \psi_n(0-)|^2 + \\ &+ |\psi_{n-1}(0+) - \psi_{n-1}(0-)|^2) \,. \end{split}$$

• changing the summation index in the second part of the sum we get

$$\begin{split} |\mathbf{b}[\Psi]| &\leq \frac{1}{\sqrt{2}} \sum_{n \in \mathbb{N}_0} (\sqrt{n} + \sqrt{n+1}) |\psi_n(0+) - \psi_n(0-)|^2 \\ &\leq \sum_{n \in \mathbb{N}_0} \sqrt{2n+1} |\psi_n(0+) - \psi_n(0-)|^2 \,, \end{split}$$

where we have used the inequality  $\sqrt{n} + \sqrt{n+1} < \sqrt{2(2n+1)}$ 

• using subsequently Lemmata 5 and 6 we obtain

$$\begin{split} |\mathbf{b}[\Psi]| &\leq 2\sqrt{2} \sum_{n \in \mathbb{N}_0} \sqrt{n + \frac{1}{2}} \left( |\psi_n(0+)|^2 + |\psi_n(0-)|^2 \right) \\ &\leq 2\sqrt{2} \sum_{n \in \mathbb{N}_0} \int_{\mathbb{R}} \left( |\psi_n'(x)|^2 + \left(n + \frac{1}{2}\right) |\psi_n(x)|^2 \right) \, \mathrm{d}x \\ &= 2\sqrt{2} \, \mathbf{a}_0[\Psi] \,. \end{split}$$

- we use  $\mathbf{a}_0[\Psi] \ge \frac{1}{2} \|\Psi\|^2$ , which follows from (5)
- we obtain

$$\mathbf{a}_{eta}[\Psi] = \mathbf{a}_0[\Psi] + rac{1}{eta}\mathbf{b}[\Psi] \ge \left(1 - rac{2\sqrt{2}}{eta}
ight) \mathbf{a}_0[\Psi]$$
  
 $\ge rac{1}{2}\left(1 - rac{2\sqrt{2}}{eta}
ight) \|\Psi\|^2,$ 

## Construction of the Jacobi operator

- we will rephrase the problem with using certain Jacobi operator
- we substitute to eq. (1) the Ansatz (4) for  $\Psi$ , multiply the equation by  $\bar{\chi}_m(y)$ , integrate with respect to y over  $\mathbb{R}$ . and use the orthonormality

$$\sum_{n \in \mathbb{N}_0} \int_{\mathbb{R}} \bar{\chi}_m(y) y(\psi_n(0+) - \psi_n(0-)) \chi_n(y) \, \mathrm{d}y =$$
$$= \beta \sum_{n \in \mathbb{N}_0} \int_{\mathbb{R}} \frac{\partial \psi_n}{\partial x} (0+) \bar{\chi}_m(y) \chi_n(y) \, \mathrm{d}y$$

• relation (6) then yields the condition

$$\beta \frac{\partial \psi_m}{\partial x}(0+) = \sum_{n \in \mathbb{N}_0} \frac{1}{\sqrt{2}} \int_{\mathbb{R}} (\psi_n(0+) - \psi_n(0-)) \bar{\chi}_m(y) \\ \left(\sqrt{n+1}\chi_{n+1}(y) + \sqrt{n}\chi_{n-1}(y)\right) dy \\ = \frac{\sqrt{m}}{\sqrt{2}} (\psi_{m-1}(0+) - \psi_{m-1}(0-)) \\ + \frac{\sqrt{m+1}}{\sqrt{2}} (\psi_{m+1}(0+) - \psi_{m+1}(0-)), \quad (8)$$

• on the other hand, the condition (2) implies

$$\frac{\partial \psi_n}{\partial x}(0+) = \frac{\partial \psi_n}{\partial x}(0-) \tag{9}$$

 consider now the eigenvalue problem for the operator H<sub>β</sub>, which is equivalent to the set of equations

$$-\phi_n''(x) + (n + \frac{1}{2} - \Lambda)\phi_n(x) = 0, \quad x = 0, \quad n \in \mathbb{N}_0$$
 (10)

under the matching conditions (8) and (9), for  $\phi_n \upharpoonright \mathbb{R}_{\pm} \in H^2(\mathbb{R}_{\pm})$  where  $\Lambda$  is the sought eigenvalue.

• we define  $\zeta_n(\Lambda) = \sqrt{n + \frac{1}{2} - \Lambda}$  taking the branch of the square root which is analytic in  $\mathbb{C} \setminus [n + \frac{1}{2}, \infty)$  and for number  $\Lambda$  from this set it holds

 $\operatorname{Re} \zeta_n(\Lambda) > 0$ ,  $\operatorname{Im} \zeta_n(\Lambda) \cdot \operatorname{Im} \Lambda < 0$ .

• solutions to the equation (10) in  $L^2(\mathbb{R}_{\pm})$  are

$$\begin{split} \phi_n(x,\Lambda) &= k_1(\Lambda) \,\mathrm{e}^{-\zeta_n(\Lambda)x} \,, \quad x > 0 \,, \\ \phi_n(x,\Lambda) &= k_2(\Lambda) \,\mathrm{e}^{\zeta_n(\Lambda)x} \,, \quad x < 0 \,, \end{split}$$

where from (9) we have  $k_1(\Lambda) = -k_2(\Lambda)$ 

• we use the normalization  $\phi_n(x,\Lambda) = C_n \eta_n(x,\Lambda)$  with

$$\eta_n(x,\Lambda) := \pm \left(n + \frac{1}{2}\right)^{1/4} \mathrm{e}^{\mp \zeta_n(\Lambda) x} \, . \quad x \in \mathbb{R}_{\pm} \, .$$

hence

$$\phi_n(0+,\Lambda) - \phi_n(0-,\Lambda) = 2C_n\left(n+\frac{1}{2}\right)^{1/4},$$
$$\frac{\partial\phi_n}{\partial x}(0+,\Lambda) = -C_n\left(n+\frac{1}{2}\right)^{1/4}\zeta_n(\Lambda).$$
(11)

• substituting from here to eq. (8) we obtain the relation

$$(n+1)^{1/2} \left(n+\frac{3}{2}\right)^{1/4} C_{n+1} + 2\mu \left(n+\frac{1}{2}\right)^{1/4} \zeta_n(\Lambda) C_n + n^{1/2} \left(n-\frac{1}{2}\right)^{1/4} C_{n-1} = 0, \quad n \in \mathbb{N}_0$$
(12)

with  $\mu := \frac{\beta}{2\sqrt{2}}$ 

• this equation defines the same Jacobi operator  $\mathcal{J}(\Lambda, \mu)$  as for  $\delta$ -condition, only our parameter  $\mu$  differs

# Absolutely continuous spectrum of $H_{\beta}$

- one can represent the resolvent using the Krein formula with the obtained Jacobi operator
- one can proceed similarly to the known case of  $\delta\text{-condition}$  and prove the following theorems

Theorem 7 (absolutely continuous spectrum of  $H_{\beta}$ )

$$\begin{aligned} \sigma_{\rm ac}(\mathbf{H}_{\beta}) &= \sigma_{\rm ac}(\mathbf{H}_0) \cup \sigma_{\rm ac}(\mathcal{J}_0(\beta/(2\sqrt{2}))), \\ \mathfrak{m}_{\rm ac}(E,\mathbf{H}_{\beta}) &= \mathfrak{m}_{\rm ac}(E,\mathbf{H}_0) + \mathfrak{m}_{\rm ac}(E,\mathcal{J}_0(\beta/(2\sqrt{2}))). \end{aligned}$$

where

$$\mathcal{J}_0(\mu) := D\mathcal{S} + \mathcal{S}^*D + 2\mu Y_0$$

with

$$\begin{split} \mathcal{D}\,, \mathcal{S}: \ell^2(\mathbb{N}_0) \mapsto \ell^2(\mathbb{N}_0)\,, \\ \mathcal{D}\{\omega_n\}: \{r_0, r_1, \dots\} \mapsto \{\omega r_0, \omega_1 r_1, \dots\}\,, \\ \mathcal{D}:= \mathcal{D}(d_n)\,, \quad Y_0 := \mathcal{D}\{n+1/2\}\,, \\ \mathcal{S}: \{r_0, r_1, \dots\} \mapsto \{0, r_0, r_1, \dots\}\,, \\ d_n := n^{1/2}(n+\frac{1}{2})^{1/4}(n-\frac{1}{2})^{1/4}\,. \end{split}$$

Theorem 8 (spectrum of  $\mathcal{J}_0$ )

$$\begin{array}{rcl} \sigma(\mathcal{J}_0(\mu)) &=& (-\infty,\infty) \quad \text{for} \quad \mu < 1 \,, \\ \sigma(\mathcal{J}_0(1)) &=& [0,\infty) \,, \\ \sigma_{\rm ac}(\mathcal{J}_0(\mu)) &=& \emptyset \quad \text{for} \quad \mu > 1 \,, \\ \mathfrak{n}_{\rm ac}(\mathcal{E},\mathcal{J}_0(\mu)) &=& 1 \quad \text{a.e. on} \quad \sigma(\mathcal{J}_0(\mu)) \,. \end{array}$$

• since we have  $\mu = \frac{\beta}{2\sqrt{2}}$ , these two theorem in combination with the well-known spectrum of  $\mathbf{H}_0$  prove the claim of Theorem 1

# Discrete spectrum of $\mathbf{H}_{\beta}$

### Proof of Theorem 3.

- first we check that the spectrum on  $(-\infty, \frac{1}{2})$  is non-empty using a variational argument
- the idea is to construct an element  $\Psi^{\varepsilon} \in D$  such that  $\mathbf{a}_{\beta}[\Psi^{\varepsilon}] < \frac{1}{2} \|\Psi^{\varepsilon}\|^2$
- $\bullet\,$  consider functions  $\psi_0,\psi_1$  satisfying the conditions

$$\psi_0(0+) - \psi_0(0-) = -C < 0, \qquad \psi_1(0+) - \psi_1(0-) = 1,$$

and such that  $\Psi=\{\psi_0,\psi_1,0,0,\dots\}\in D$ 

• we scale the first one,  $\psi_0^{\varepsilon}(x) := \psi_0(\varepsilon x)$ , and put  $\Psi^{\varepsilon} := \{\psi_0^{\varepsilon}, \psi_1, 0, 0, \dots\}$  which belongs again to D

• from (5) and (7) we have

$$\begin{aligned} \mathbf{a}_{\beta}[\Psi^{\varepsilon}] &- \frac{1}{2} \|\Psi^{\varepsilon}\|^{2} = \int_{\mathbb{R}} \left( |\psi_{0}^{\varepsilon'}(x)|^{2} + |\psi_{1}(x)|^{2} + |\psi_{1}'(x)|^{2} \right) \, \mathrm{d}x - \frac{\sqrt{2}}{\beta} C = \\ &= \int_{\mathbb{R}} \left( \varepsilon |\psi_{0}'(x)|^{2} + |\psi_{1}(x)|^{2} + |\psi_{1}'(x)|^{2} \right) \, \mathrm{d}x - \frac{\sqrt{2}}{\beta} C \end{aligned}$$

• choosing  $\varepsilon$  small enough and C large enough one can achieve that the right-hand side of the last equation is negative, which means that the spectrum below  $\frac{1}{2}$  is nonempty for any  $\beta > 0$ 

- one can proof that  $N_{-}(\frac{1}{2}, \mathbf{H}_{\beta}) = N_{+}(\mu, \mathbf{J}_{0})$  or  $N_{-}(\frac{1}{2}, \mathbf{H}_{\beta}) = N_{+}(\mu, \mathbf{J}_{0}) + 1$ , where  $N_{-}$  ( $N_{+}$  is the number of eigenvalues below (above) certain value)
- using the fact on the previous slide, and the fact that the eigenvalues of J<sub>0</sub> have a single accumulation point at 1 (and consequently, there is a μ such that there are no eigenvalues of J<sub>0</sub> larger than μ) we find that for β large enough the operator H<sub>β</sub> has exactly one simple eigenvalue.
- the asymptotic expansion of this eigenvalue  $\Lambda_1$  can be found by an argument similar to the original Smilansky model

• the system of equations (12) can be after substitution  $Q_n = (n + \frac{1}{2})^{1/4} C_n$  rewritten as

$$Q_{1} + 2\mu \sqrt{\frac{1}{2} - \Lambda_{1}} Q_{0} = 0, \quad (13)$$
$$(n+1)^{1/2} Q_{n+1} + 2\mu \zeta_{n}(\Lambda_{1}) Q_{n} + n^{1/2} Q_{n-1} = 0,$$
$$n \in \mathbb{N}. \quad (14)$$

• we normalize  $||Q|| := \sum_{n=0}^{\infty} |Q_n|^2 = 1$ , using then  $\sqrt{n} \le \sqrt{n + \frac{1}{2} - \Lambda_1} = \zeta_n(\Lambda_1)$  and  $\sqrt{n+1} \le \sqrt{2(n + \frac{1}{2} - \Lambda_1)}$ we obtain from (14) the estimate

$$|Q_n| \le \frac{1}{2\mu} |Q_{n-1}| + \frac{1}{\sqrt{2\mu}} |Q_{n+1}|.$$
(15)

• in the analogy with Lemma 5 we have

$$|Q_n|^2 \leq \frac{1}{2\mu^2} |Q_{n-1}|^2 + \frac{1}{\mu^2} |Q_{n+1}|^2$$

#### hence

$$\sum_{n=1}^{\infty} |Q_n|^2 \leq \frac{1}{2\mu^2} \sum_{n=0}^{\infty} |Q_n|^2 + \frac{1}{\mu^2} \sum_{n=2}^{\infty} |Q_n|^2 \leq \frac{3}{2\mu^2},$$

where we have used the mentioned normalization

• from here it follows that

$$|Q_0| = \left(\sum_{n=0}^{\infty} |Q_n|^2 - \sum_{n=1}^{\infty} |Q_n|^2\right)^{1/2} = 1 + \mathcal{O}\left(\mu^{-2}\right). \quad (16)$$

 $\bullet\,$  without loss of generality we may suppose that  ${\it Q}_0$  is positive

• from (15) with n = 2 with the use of the normalization we obtain

$$|Q_2| \leq rac{1}{2\mu} + rac{1}{\sqrt{2}\mu} \quad \Rightarrow \quad Q_2 = \mathcal{O}\left(\mu^{-1}
ight)$$

• furthermore, from (14) and (16) we get

$$Q_1 = rac{1}{2\mu} + \mathcal{O}\left(\mu^{-2}
ight) \,.$$

• from (13) we obtain  $\left(\frac{1}{2} - \Lambda_1\right)^{1/2} = -\frac{Q_1}{2\mu Q_0} = -\frac{1}{4\mu^2} + \mathcal{O}\left(\mu^{-3}\right)$ , or equivalently  $\frac{1}{2} - \Lambda_1 = \frac{1}{16\mu^4} + \mathcal{O}\left(\mu^{-5}\right) = \frac{4}{\beta^4} + \mathcal{O}\left(\beta^{-5}\right)$ ,

which concludes the proof.

Paper on which the talk was based

P. Exner, J. Lipovsky: Smilansky-Solomyak model with a  $\delta'$ -interaction, *Phys. Lett. A* **382** (2018), 1207–1213.

## Thank you for your attention!

## Paper on which the talk was based

P. Exner, J. Lipovsky: Smilansky-Solomyak model with a  $\delta'$ -interaction, *Phys. Lett. A* **382** (2018), 1207–1213.

# Thank you for your attention!