

# The structure of groups with an automorphism satisfying a polynomial identity<sup>1</sup>

#### Wolfgang Alexander Moens

University of Vienna

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#### "Appetizer"

I have a finite group G, together with an automorphism  $\alpha : G \longrightarrow G$ .

I am telling you that, for all  $x \in G$ :

 $\alpha^{3}(x) \cdot \alpha^{2}(x^{-1}) \cdot \alpha(x^{-1}) \cdot \alpha^{2}(x) \cdot \alpha(x^{-1}) \cdot x^{-1} = 1_{\mathcal{G}}.$ 

**Q**. What can you tell me about the structure of G?

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#### Regular automorphisms

**<u>Thm</u>**. (Rowley '95): A finite group G is *solvable* if it has an automorphism that moves every element of G other than  $1_G$ .

- <u>**Def**</u>.  $\Delta_0 := G$  and  $\Delta_{n+1} := [\Delta_n, \Delta_n]$ .
- **<u>Def</u>**. *G* solvable if some  $\Delta_n$  vanishes.
- **<u>Def</u>**. Such an automorphism is called *regular*.
- This theorem has a long history, going back to work of Gorenstein—Herstein '61.
- The solution requires the classification of the finite, simple groups '55—'81—'04—'08—??'.

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#### Side note: CFSG

#### The Periodic Table Of Finite Simple Groups

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Figure: "These are the "building blocks" of all finite groups." [Image: *Ivan Andrus*].

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Identities of automorphisms

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## Fun fact



Figure: "In February 1981 the classification of finite simple groups was completed." ... [Richard Elwes **Plus Magazine: An enormous theorem: the classification of finite simple groups**, *December 7, 2006*].

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## Regular automorphisms of prime order

**Thm**. (Thompson '59/'60): A finite group G is nilpotent if it has a regular automorphism of *prime* order.

- <u>**Def**</u>.  $\Gamma_1 := G$  and  $\Gamma_{n+1} := [\Gamma_n, G]$ .
- **<u>Def</u>**. *G* nilpotent if some  $\Gamma_{n+1}$  vanishes.
- <u>**Def**</u>.  $c(G) := \min\{n \in \mathbb{N} | \Gamma_{n+1}(G) = 1_G\}.$
- This theorem has a long history, going back to work of Burnside and Frobenius about simply-transitive actions of finite groups.
- The solution depends on Thompson's famous *p*-complement theorem but *not* on the classification.

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## Fun fact



Figure: The solution to the problem, known as Frobenius' conjecture, was reported by Prof. John G. Thompson, a 26-year-old mathematician. It dealt with so-called "group theory" and had puzzled mathematicians for more than fifty years ... [NYT, *April 26, 1959*].

## Regular automorphisms of prime order (ctd.)

**Thm**. (Higman '57; Kreknin—Kostrikin '63): If a nilpotent group G has a regular automorphism of prime order p, then the nilpotency class of G is bounded:

$$c(G) \leq (p-1)^{2^{(p-1)}}$$

- Higman proved that there exists a minimal upper bound *h*(*p*) that depends only on *p*.
- Kreknin and Kostrikin later reduced the bound to  $h(p) \leq (p-1)^{2^{(p-1)}}$ .
- The proofs all use Lie theory.

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## Fun fact



Figure: "The aversion of Frobenius to Klein and Sophus Lie knew no limits  $\dots$ " [Die Mathematik und Ihre Dozenten an der Berliner Universität 1810 – 1920].

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#### Monotone identities of endomorphisms

**Def**. If 
$$r(t) = a_0 + a_1 \cdot t + \cdots + a_d \cdot t^d \in \mathbb{Z}[t]$$
 is a polynomial, then we define the map

$$r(\alpha): G \longrightarrow G$$

by

$$x \mapsto x^{a_0} \cdot \alpha(x^{a_1}) \cdots \alpha^d(x^{a_d}).$$

**<u>Def</u>**. If  $r(\alpha)$  sends every element x of G to  $1_G$ , then we simply write

$$a_0 + a_1 \cdot \alpha + \cdots + a_d \cdot \alpha^d = 1_G$$

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#### A simple observation

**<u>Obs</u>**. Consider a finite group *G* with a regular automorphism  $\alpha : G \longrightarrow G$  of order *n*. Then

$$1 + \alpha + \alpha^2 + \dots + \alpha^{n-1} = 1_{\mathcal{G}}.$$

#### <u>Prf</u>. :

- Since  $\alpha$  fixes only  $1_G$ , the map  $(-1+\alpha): G \longrightarrow G: x \mapsto x^{-1} \cdot \alpha(x)$  is injective.
- Since G is finite, this map is also surjective.
- So there exists a  $y \in G$  such that  $x = y^{-1} \cdot \alpha(y)$ , and:

$$\begin{aligned} x \cdot \alpha(x) \cdots \alpha^{n-1}(x) &= y^{-1} \cdot \alpha(y) \cdot \alpha(y^{-1}) \cdots \alpha^n(y) \\ &= y^{-1} \cdot \mathbf{1}_G \cdot \mathbf{1}_G \cdots \mathbf{1}_G \cdot \alpha^n(y) \\ &= \mathbf{1}_G. \end{aligned}$$

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## Extending these classical results ...

**<u>Thm</u>**. (Ersoy '16): Let *n* be an *odd* number. A finite group *G* is solvable if it has an automorphism  $\alpha : G \longrightarrow G$  such that

$$1 + \alpha + \alpha^2 + \dots + \alpha^{n-1} = 1_{\mathcal{G}}.$$

- **<u>Def</u>**. This is a *split automorphism* of index *n*.
- The proof uses the classification.
- This (partially) extends the theorem of Rowley.
- The statement is false for *n* even.

## Extending these classical results ...

**<u>Thm</u>**. (Hughes—Thompson '59; Kegel '60/'61): A finite group G is nilpotent if it has an automorphism  $\alpha : G \longrightarrow G$  such that

$$1 + \alpha + \alpha^2 + \dots + \alpha^{p-1} = 1_{\mathcal{G}}.$$

- Hughes and Thompson used a famous paper of Hall and Higman '56 to prove that G is solvable.
- Kegel later showed that the solvability of *G* implies its nilpotency.
- This extends the theorem of Thompson.

## 3 + 1 extensions

## Extending these classical results ...

**Thm**. (Khukhro '86): There exists a map  $Kh : \mathbb{N} \times \mathbb{P} \longrightarrow \mathbb{N}$ with the following property. If a finite group G has an automorphism  $\alpha: G \longrightarrow G$  such that

$$1 + \alpha + \alpha^2 + \dots + \alpha^{p-1} = 1_{\mathcal{G}},$$

then the nilpotency class c(G) of G is bounded by

 $c(G) < \operatorname{Kh}(d(G), p),$ 

where d(G) is the minimal number of elements needed to generate G.

**Rmk**. Examples show that the upper bound must depend on d(G).

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## Summary ...

Theorem	Identity	Assumption	Conclusion	
Rowley	$-1 + \alpha^n = 1_G$	regularity	solvable	
Ersoy	$1 + \alpha + \dots + \alpha^{n-1} = 1_{\mathcal{G}}$	<i>n</i> odd	solvable	
Thompson	$-1 + \alpha^{p} = 1_{G}$	regularity	nilpotent	
H-T; Kegel	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	-	nilpotent	
Higman	$-1 + \alpha^{p} = 1_{G}$	regularity	bd. class	
Khukhro	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	-	bd. class	

- The results in this table were motivated by the Gorenstein—Herstein conjecture and by the Frobenius conjecture\* and the Higman conjecture\*.
- But the latter can also be motivated by the *Burnside problems*.

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## The Restricted Burnside problem

<u>**Rmk**</u>. There is more than one Burnside problem and the terminology is used inconsistently in the literature.

Restricted Burnside problem RB(d, e): There exists a map

 $\mathsf{RB}:\mathbb{N}\times\mathbb{N}\longrightarrow\mathbb{N}$ 

such that every d-generated group G of exponent e satisfies

 $|G| \leq \mathsf{RB}(d, e)$  or  $|G| = +\infty$ .

Such groups are either "very small" or "very large."

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#### Fun fact



Figure: "... one of the best known Cambridge athletes of his day ..." [Obituary of W. Burnside in **The Times**, *1927*].

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## Fun fact



Figure: "... and my math was O.K, I guess ...."

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#### The Restricted Burnside problem: proof

Let  $e = p_1^{m_1} \cdots p_k^{m_k}$  be the prime factorisation of e.

<u>Thm</u>. (Hall—Higman '56): If the statement holds for  $RB(d, p_1^{m_1}), \dots, RB(d, p_k^{m_k}),$ then it also holds for RB(d, e).

- The theorem is conditional on the classification of the finite simple groups!
- So we have reduced the restricted Burnside problem to prime-power exponent, say RB(*d*, *p<sup>m</sup>*).

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## Fun fact



Figure: "In finite group theory, the outstanding paper on the p-length of the p-soluble groups, written with P. Hall, played an essential part in the great breakthrough of 1963 when Feit and Thompson proved that all groups of odd order are soluble." [**Professor Graham Higman**, **Telegraph**, 26/05/2008][Pict.: Normal Blamey, 1984].

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The Restricted Burnside problem: proof

**Obs**. For a finite group G of exponent  $p^m$  on d generators, we have

$$c(G)\leq |G|\leq (p^m)^{(1+d^{c(G)})}.$$

Re-formulation of  $RBP(d, p^m)$ :

Find a map

 $\mathsf{RBC}:\mathbb{N}\times\mathbb{P}^*\longrightarrow\mathbb{N}$ 

such that every finite group G of exponent  $p^m$  on d generators satisfies

 $c(G) \leq \mathsf{RBC}(d, p^m).$ 

## The Restricted Burnside problem: proof

**Thm**. (Kostrikin '58/'59): There exists an upper bound RBC(d, p) for the class of every finite, *d*-generated group *G* of prime exponent *p*.

- The proof uses Lie theory.
- By the reduction theorem of Hall—Higman '56, we have a positive solution for the RBP in square-free exponent.
- We note that the automorphism  $\mathbb{1}_G : G \longrightarrow G : x \mapsto x$  satisfies

$$1+\mathbb{1}_{\mathcal{G}}+\cdots+\mathbb{1}_{\mathcal{G}}^{p-1}=1_{\mathcal{G}}.$$

• So we see that Kostrikin's theorem is a special case of Khukhro's theorem!

## The Restricted Burnside problem: proof

**Thm**. (Zel'manov '90/'91): There exists an upper bound RBC $(d, p^m)$  for the class of every finite, *d*-generated group *G* of prime-power exponent  $p^m$ .

- The proof uses Lie theory.
- By Hall—Higman '56, we have a positive solution for the restricted Burnside problem in arbitrary exponent.
- We again note that automorphism  $\mathbb{1}_G : G \longrightarrow G : x \mapsto x$  satisfies

$$1+\mathbb{1}_{\mathcal{G}}+\cdots+\mathbb{1}_{\mathcal{G}}^{p^n-1}=1_{\mathcal{G}}.$$

• And Zel'manov's theorem is a special case of ... ... another theorem of Zel'manov.

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The compact Burnside problem / the Platonov conjecture

**Conj**. "If a group is compact and periodic, then it is locally-finite."

#### <u>Rmk</u>.

- Compact means compact and Hausdorff.
- Periodic means that every element has some finite order.
- Locally-finite means that every finite subset generates a finite subgroup.

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#### The compact Burnside problem

Proof of the restricted and compact Burnside problems are similar.

Restricted Burnside problem	Compact Burnside problem
Hall—Higman ′56	Wilson '83
use the <b>CFSG</b> to reduce	uses the <b>CFSG</b> to reduce
the problem to <i>p</i> -groups	the problem to pro- <i>p</i> groups
7 1/ /00 //01	7 11 /00
Zel'manov '90/'91	Zeľmanov '92
uses Lie theory to prove that	uses Lie theory to prove that
$1+\mathbb{1}_G+\dots+\mathbb{1}_G^{p^n-1}=1_G$	$1 + \alpha + \dots + \alpha^{p^n - 1} = 1_G$
implies that	implies that
$c(G) \leq RBC(d(G), p^n).$	$c(G) \leq Z(d(G), p^n, \ldots).$

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Theorem	Identity	Assumption	Conclusion
Ro	$-1 + \alpha^n = 1_G$	regular	solvable
Er	$1 + \alpha + \dots + \alpha^{n-1} = 1_{\mathcal{G}}$	<i>n</i> odd	solvable
Th	$-1 + \alpha^p = 1_G$	regular	nilpotent
HuTh;Ke	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	_	nilpotent
Hi;KrKo	$-1 + \alpha^p = 1_G$	regular	bd. class
Kh	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	_	bd. class
Ko	$1+\mathbb{1}_{G}+\cdots+\mathbb{1}_{G}^{p-1}=1_{G}$	<i>p</i> -group	bd. class
Ze	$1+\mathbb{1}_G+\cdots+\mathbb{1}_G^{p^n-1}=1_G$	<i>p</i> -group	bd. class
Ze	$1 + \alpha + \dots + \alpha^{p^n - 1} = 1_G$	<i>p</i> -group	bd. class

Identities of automorphisms Main theorem Defining the invariants Proof of main theorem

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Identities of automorphisms Main theorem Defining the invariants Proof of main theorem

#### Identities of automorphisms

<u>**Def**</u>. We say that a polynomial  $r(t) \in \mathbb{Z}[t]$  is an *identity* of an endomorphism  $\gamma : G \longrightarrow G$  if and only if there exists an additive decomposition

$$r(t) = s_1(t) + s_2(t) + \cdots + s_k(t)$$

of r(t) into terms  $s_1(t),\ldots,s_k(t)\in\mathbb{Z}[t]$  such that the map  $G\longrightarrow G$  defined by

$$x \mapsto x^{s_1(\gamma)} \cdot x^{s_2(\gamma)} \cdots x^{s_k(\gamma)}$$

sends every element of G to  $1_G$ .

**<u>Rmk</u>**. The identities of  $\gamma$  form an ideal of  $\mathbb{Z}[t]$ .

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#### Example: the discrete Heisenberg group

<u>Ex</u>. Consider the discrete Heisenberg group  $H \subseteq GL_3(\mathbb{Z})$ . Then the map

$$\left(\begin{array}{rrrr}1 & a & c \\ 0 & 1 & b \\ 0 & 0 & 1\end{array}\right) \mapsto \left(\begin{array}{rrrr}1 & b & a \cdot b + \frac{b \cdot (b-1)}{2} - c \\ 0 & 1 & a + b \\ 0 & 0 & 1\end{array}\right)$$

defines an automorphism  $\alpha : H \longrightarrow H$  of H. We can verify that, for every  $x \in H$ , we have

$$\underbrace{\alpha^{3}(x)}_{s_{1}(t)=t^{3}} \cdot \underbrace{\alpha^{2}(x^{-1})}_{s_{2}(t)=-t^{2}} \cdot \underbrace{\alpha(x^{-1}) \cdot \alpha^{2}(x)}_{s_{3}(t)=-t+t^{2}} \cdot \underbrace{\alpha(x^{-1})}_{s_{4}(t)=-t} \cdot \underbrace{x^{-1}}_{s_{5}(t)=-1} = 1_{H}.$$

So  $r(t) := s_1(t) + \cdots + s_5(t) = t^3 - 2t - 1$  is an identity of  $\alpha$ .

Identities of automorphisms Main theorem Defining the invariants Proof of main theorem

#### Identities of endomorphisms

Our main results can be grouped together into two categories:

• Existence theorems.

- Easy, but not part of this talk.
- Structure theorems.
  - Not-so-easy, but the focus of this talk.

Identities of automorphisms Main theorem Defining the invariants Proof of main theorem

#### Structure theorem

To each polynomial  $r(t) \in \mathbb{Z}[t]$ , we will assign invariants  $\iota_1, \iota_2, \iota_3, \iota_4 \in \mathbb{Z}$  and  $h \in \mathbb{N} \cup \{+\infty\}$  — to be defined later in the talk.

 $\begin{array}{l} \underline{\text{Main Theorem}} \ ('18): \ \text{Consider a finite group } G, \ \text{together} \\ \text{with an automorphism } \alpha : G \longrightarrow G \ \text{and an identity } r(t). \\ \text{Then} \\ & \text{gcd}(|G|, \iota_1 \cdot \iota_2 \cdot \iota_3 \cdot \iota_4) \neq 1 \\ \text{or} \\ & \underbrace{[[[[G,G],G],...],G]}_{h+1} = \{1_G\}. \end{array}$ 

Identities of automorphisms Main theorem **Defining the invariants** Proof of main theorem

#### The invariants $\iota_1$ and $\iota_2$

 $\underline{\mathsf{Def}}_{\cdot} \ \mathbf{\iota}_1 := r(1) \in \mathbb{Z}.$ 

- If  $\alpha(x) = x$ , then  $x^{r(1)} = 1_G$ .
- If  $gcd(|G|, \iota_1) = 1$  then  $\alpha$  is regular.

**<u>Def</u>**. For every  $u, j \in \mathbb{N}$ , we consider the partial sum

$$r_{u,j}(t) := \sum_{i\equiv j \bmod u} a_i \cdot t^i \in \mathbb{Z}[t],$$

so that  $r(t) = r_{u,0}(t) + r_{u,1}(t) + \cdots + r_{u,u-1}(t)$ . **Def**. We define  $\iota_2$  to be the (unique) non-negative generator of the principal  $\mathbb{Z}$ -ideal

$$\mathbb{Z} \cap \bigcap_{u>1} (r_{u,0}(t) \cdot \mathbb{Z}[t] + \cdots + r_{u,u-1}(t) \cdot \mathbb{Z}[t]).$$

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## Example: $r(t) := t^3 - 2t - 1 \in \mathbb{Z}[t]$ .

• Then  $r_{2,0}(t) := -1$  and  $r_{2,1}(t) := -2t + t^3$ , so that

$$\mathbb{Z} \cap (r_{2,0}(t) \cdot \mathbb{Z}[t] + r_{2,1}(t) \cdot \mathbb{Z}[t]) = \mathbb{Z}.$$

• Then  $r_{3,0}(t) := -1 + t^3$  and  $r_{3,1}(t) := -2t$  and  $r_{3,2}(t) := 0$ , so that

$$\mathbb{Z}\cap (r_{3,0}(t)\cdot\mathbb{Z}[t]+r_{3,1}(t)\cdot\mathbb{Z}[t]+r_{3,2}(t)\cdot\mathbb{Z}[t])=2\cdot\mathbb{Z}.$$

• For  $u \ge 4$ , we have  $r_{u,0}(t) := -1$ ,  $r_{u,1}(t) := -2t$ ,  $r_{u,2}(t) := 0$ , and  $r_{u,3}(t) := t^3$ , so that

$$\mathbb{Z} \cap (r_{u,0}(t) \cdot \mathbb{Z}[t] + \cdots + r_{u,u-1}(t) \cdot \mathbb{Z}[t]) = \mathbb{Z}.$$

• Since  $\mathbb{Z} \cap 2 \cdot \mathbb{Z} \cap \mathbb{Z} = 2 \cdot \mathbb{Z}$ , we have  $\iota_2 := 2$ .

Identities of automorphisms Main theorem **Defining the invariants** Proof of main theorem

<u>Aux. Thm</u>. ('18) If  $gcd(|G|, \iota_1 \cdot \iota_2) = 1$ , then G is nilpotent.

- The proof generalises Higman's contribution to the Frobenius conjecture.
- It also uses Thompson's *p*-complement theorem.
- But it does *not* require the classification of the finite simple groups.

<u>**Rmk**</u>. This settles the nilpotency of our group G, but it does not give us abound on the nilpotency class of G.

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#### The invariants $\iota_3$ and $\iota_4$

If r(t) is constant, then we set  $\iota_3 := r(t) \in \mathbb{Z}$  and  $\iota_4 := 1$ . Else, the polynomial r(t) factorises over the complex numbers as

$$r(t) := a_d \cdot \prod_{1 \leq i \leq l} (t - \lambda_i)^{m_i}.$$

Def.

$$\iota_3 := a_d^{1+2d^2} \cdot (m-1)! \cdot \prod_{\substack{1 \leq i,j \leq l \\ i \neq j}} (\lambda_i - \lambda_j)^m,$$

where  $m := \max(m_1, ..., m_l)$ .

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#### The invariants $\iota_3$ and $\iota_4$

#### Def.



<u>Lem</u>. If  $r(t) \in \mathbb{Z}[t] \setminus \{0\}$  then also  $\iota_3, \iota_4 \in \mathbb{Z} \setminus \{0\}$ .

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Example:  $r(t) = t^3 - 2t - 1 \in \mathbb{Z}[t]$ .

• The roots are 
$$\lambda_1:=rac{1-\sqrt{5}}{2},\,\lambda_2:=rac{1+\sqrt{5}}{2}$$
, and  $\lambda_3:=-1.$  So

$$\iota_3 := -5.$$

• Since  $r(\lambda_i \cdot \lambda_j) = 0$  if and only if  $\{i, j\} = \{1, 2\}$ , we have

$$\iota_4 := -2^7 \cdot 5$$

<u>**Rmk**</u>. We can compute the invariants without having to compute the roots of the polynomial.

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#### The invariant h

**<u>Def</u>**. A finite subset X of a group  $(K, \cdot)$  is arithmetically-free if and only if, for every  $\lambda, \mu \in X$ , we have

$$\{\lambda, \lambda \cdot \mu, \lambda \cdot \mu^2, \lambda \cdot \mu^3, \ldots\} \not\subseteq X.$$

#### <u>Ex</u>.

X := {+1,-1} is not an arithmetically-free subset of (Q<sup>×</sup>, ·).
X := {2,4,8} is an arithmetically-free subset of (Q<sup>×</sup>, ·).

<u>Lem</u>. If  $\iota_1 \cdot \iota_2 \neq 0$ , then the roots of r(t) form an arithmetically-free subset X of  $(\overline{\mathbb{Q}}^{\times}, \cdot)$ .

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Example:  $r(t) = t^3 - 2t - 1 \in \mathbb{Z}[t]$ .

• Let 
$$\lambda_1 := \frac{1-\sqrt{5}}{2}, \lambda_2 := \frac{1+\sqrt{5}}{2}$$
, and  $\lambda_3 := -1$  be the roots.

Then 
$$\lambda_1 \cdot \lambda_1, \lambda_1 \cdot \lambda_2^2, \lambda_1 \cdot \lambda_3 \notin \{\lambda_1, \lambda_2, \lambda_3\}.$$
  
Then  $\lambda_2 \cdot \lambda_1^2, \lambda_2 \cdot \lambda_2, \lambda_2 \cdot \lambda_3 \notin \{\lambda_1, \lambda_2, \lambda_3\}.$   
Then  $\lambda_3 \cdot \lambda_1, \lambda_3 \cdot \lambda_2, \lambda_3 \cdot \lambda_3 \notin \{\lambda_1, \lambda_2, \lambda_3\}.$ 

- So the set X := {λ<sub>1</sub>, λ<sub>2</sub>, λ<sub>3</sub>} is an arithmetically-free subset of the group ( Q
  <sup>×</sup>, ·).
- Alternatively: ι<sub>1</sub> · ι<sub>2</sub> = (-2) · (2) ≠ 0, so that X is an A.F. subset of <sup>∞</sup><sub>Q</sub><sup>×</sup>.

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## The invariant h comes from Lie theory

For every finite, arithmetically-free subset X of the multiplicative group  $(K^{\times}, \cdot)$  of a field K, there exists a minimal natural number  $h \leq |X|^{2^{|X|}}$  with the following property.

**Thm**. ('17) If a Lie ring *L* is graded by  $(K^{\times}, \cdot)$  and supported by *X*, then *L* is nilpotent and  $\Gamma_{h+1}(L) := \underbrace{[L, L, \dots, L]}_{h+1} = \{0_L\}.$ 

**<u>Rmk</u>**.  $L = \bigoplus_{\lambda \in K^{\times}} L_{\lambda}$  with  $[L_{\lambda}, L_{\mu}] \subseteq L_{\lambda \cdot \mu}$  and  $L_{\nu} = \{0\}$  if  $\nu \in K^{\times} \setminus X$ .

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Example: the roots 
$$X:=\{\lambda_1,\lambda_2,\lambda_3\}$$
 of  $t^3-2t-1$ 

• We consider a grading

$$L = \bigoplus_{\lambda \in \overline{\mathbb{Q}}^{ imes}} L_{\lambda}$$

of a Lie ring L by the group  $(\overline{\mathbb{Q}}^{\times}, \cdot)$  and we suppose that this grading is supported by X.

• We note that  $[L,L]\subseteq \sum_{1\leq i,j\leq 3}[L_{\lambda_i},L_{\lambda_j}]\subseteq L_{\lambda_3}$  and

$$[[L, L], L] \subseteq \sum_{1 \le k \le 3} [L_{\lambda_3}, L_{\lambda_k}] = \{\mathbf{0}_L\}.$$

• So  $h \leq 2$ .

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#### The invariant h

This result can "naturally" be lifted from Lie rings to groups:

<u>Aux. Thm</u>. ('18) Consider a nilpotent group G with an automorphism and an identity r(t). If the roots of r(t) form an arithmetically-free subset of  $(\overline{\mathbb{Q}}^{\times}, \cdot)$ , then

$$\underbrace{[G,G,\ldots,G]}_{h+1}$$

is a  $(\iota_3 \cdot \iota_4)$ -group.

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#### Proof of the main theorem

#### <u>**Prf**</u>.

- We assume that  $gcd(|G|, \iota_1 \cdot \iota_2 \cdot \iota_3 \cdot \iota_4) = 1$ .
- Aux. Thm. 1: G is nilpotent.
- Lem. root set X is arithmetically-free in  $\overline{\mathbb{Q}}^{\times}$ .

• Aux. Thm. 2: 
$$\Gamma_{h+1} := \underbrace{[G, G, \dots, G]}_{h+1}$$
 is a  $(\iota_3 \cdot \iota_4)$ -group.

• By assumption, G has no  $(\iota_3 \cdot \iota_4)$ -torsion, so that

$$\Gamma_{h+1} = \underbrace{[G, G, \dots, G]}_{h+1} = \{1_G\}.$$

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## Fav. example: $r(t) := t^3 - 2t - 1$

**<u>Cor</u>**. Consider a finite group G with an automorphism  $\alpha : G \longrightarrow G$  and suppose that, for all  $x \in G$ , we have:

 $\alpha^{3}(x) \cdot \alpha^{2}(x^{-1}) \cdot \alpha(x^{-1}) \cdot \alpha^{2}(x) \cdot \alpha(x^{-1}) \cdot x^{-1} = 1_{\mathcal{G}}.$ 

Then:

- G has an element of order 2, or
- G has an element of order 5, or
- $\Gamma_3 := [[G, G], G] = \{1_G\}.$

<u>Prf</u>.

• 
$$r(t) := t^3 - t^2 - t + t^2 - t - 1 = t^3 - 2t - 1.$$
  
•  $\iota_1 \cdot \iota_2 \cdot \iota_3 \cdot \iota_4 = (-2) \cdot (2) \cdot (-5) \cdot (-2^7 \cdot 5)$ , and  
•  $h = 2.$ 

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#### Linear polynomials $a_0 + a_1 \cdot t$

**<u>Cor</u>**. Consider a finite group *G* with an automorphism with a linear identity  $r(t) := a_0 + a_1 \cdot t$ . Then

$$\mathsf{gcd}(|\mathsf{G}|, \mathsf{a}_0 \cdot (\mathsf{a}_0 + \mathsf{a}_1)) \neq 1$$

or G is abelian.

- **<u>Prf</u>**.  $(\iota_1 \cdot \iota_2 \cdot \iota_3 \cdot \iota_4)$  divides a natural power of  $a_0 \cdot (a_0 + a_1)$ and we have h = 1.
- <u>**Rmk**</u>. classic results of Baer, Schenkmann—Wade, and Alperin about *universal power automorphisms*.

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## Cyclotomic polynomials $\Phi_n(t)$

**<u>Def</u>**. Let us say that an automorphism  $\alpha : G \longrightarrow G$  is *cyclotomic* of natural index n > 1 if the cyclotomic polynomial  $\Phi_n(t)$  is a monotone identity of  $\alpha$ :

$$\Phi_n(\alpha)=1_G.$$

Let us say that  $\alpha$  is cyclotomic if it is cyclotomic of some index n > 1.

<u>**Cor</u></u>. A residually-finite group is locally-nilpotent if it admits a cyclotomic automorphism.</u>** 

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## Cyclotomic polynomials $\Phi_n(t)$

Final remarks:

- This generalises the theorems of **Thompson** and **Hughes—Thompson** and **Kegel** in several ways.
- We can similarly extend the theorems of **Higman** and **Kreknin—Kostrikin** and **Khukhro**.
- We can derive results of **Jabara** '08 (about automorphisms with finite Reidemeister number) without using the CFSG.

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## Summary of results

Theorem	Identity	Assumpt.	Concl.	
Th	$-1 + \alpha^{p} = 1_{G}$	regular	nilp.	
HuTh;Ke	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	-	nilp.	
Мо	$\Phi_n(\alpha) = 1_G$	n  eq 1	nilp.	
Hi;KrKo	$-1 + \alpha^p = 1_G$	regular	bd. cl.	
Kh	$1 + \alpha + \dots + \alpha^{p-1} = 1_{\mathcal{G}}$	-	bd. cl.	
Мо	$\Phi_n(\alpha) = 1_G$	n  eq 1	bd. cl.	
Ko	$1+\mathbb{1}_G+\cdots+\mathbb{1}_G^{p-1}=1_G$	<i>p</i> -group	bd. cl.	
Ze	$1+\mathbb{1}_G+\cdots+\mathbb{1}_G^{p^n-1}=1_G$	<i>p</i> -group	bd. cl.	
Ze	$1 + \alpha + \dots + \alpha^{p^n - 1} = 1_{\mathcal{G}}$	<i>p</i> -group	bd. cl.	
A;B;SW	$a_0 + \alpha = 1_G$			
Мо	$a_0 + a_1 \cdot \alpha = 1_G$	co-prime	abelian	